

National Electricity Rules Version 145

Historical Information

This version of the National Electricity Rules was current from 21 July 2020 to 5 August 2020.

National Electricity Rules

Version 145

Status Information

This is the latest electronically available version of the National Electricity Rules as at 21 July 2020.

This consolidated version of the National Electricity Rules was last updated on 21 July 2020 as a result of the commencement of the following amendments:

Schedule 1 of the National Electricity Amendment (Introduction of metering coordinator planned interruptions) Rule 2020 No. 7

This consolidated version of the Rules reflects the initial Rules made by the SA Minister under section 90 of the National Electricity Law (NEL) as amended by:

Rules made by the South Australian Minister under sections 90A to 90F of the NEL;
and

Rules made by the Australian Energy Market Commission under Part 7 Division 3 of the NEL.

Application of the National Energy Customer Framework related Rule

On 27 June 2012, the South Australian Minister made Rules relating to the implementation of the National Energy Customer Framework (NECF), including amendments to the National Electricity Rules under section 90D of the NEL in relation to small customer connections (Chapter 5A), Retail Markets including billing and credit support (Chapter 6B) and related provisions (**NECF related rule**).

The NECF related Rule commenced operation as a law of Tasmania, the Australian Capital Territory and the Commonwealth on 1 July 2012; South Australia on 1 February 2013, New South Wales on 1 July 2013 and Queensland on 1 July 2015.

On 1 July 2016, Victoria adopted the provisions of the NECF related rule that relate to small customer connections (Chapter 5A and related provisions). Victoria has not adopted the Retail Market provisions in Chapter 6B of the Rules.

The NECF related Rule does not apply in Western Australia or the Northern Territory until the National Energy Retail Law set out in the Schedule to the National Energy Retail Law (South Australia) Act 2011 is implemented as a law in that jurisdiction (clause 24, Part 11, Schedule 3 NEL).

The NECF related Rule can be found on the Australian Energy Market Commission's website under the 'National Electricity Rules', 'Rules made by the SA Ministers' tabs.

Provisions in force

All provisions displayed in this consolidated version of the Rules have commenced. As at the date of this consolidation the Australian Energy Market Commission has made the following Rules under Part 7 Division 3 of the NEL that have not yet commenced:

Schedule 2 of the National Electricity Amendment (Improving transparency and extending duration of MT PASA) Rule 2020 No. 1 will commence operation on 20 August 2020.

Schedule 1 of the National Electricity Amendment (System restart services, standards and testing) Rule 2020 No. 6 will commence operation on 2 November 2020.

Schedule 2 of the National Electricity Amendment (Wholesale demand response mechanism) Rule 2020 No. 9 will commence operation on 31 March 2021.

Schedules 1 to 6 of the National Electricity Amendment (Five Minute Settlement) Rule 2017 No. 15 will commence operation on 1 October 2021.

Schedule 2 of the National Electricity Amendment (Participant compensation following market suspension) Rule 2018 No. 13 will commence operation on 1 October 2021, immediately after commencement of the National Electricity Amendment (Five minute settlement) Rule 2017 No. 15.

Schedule 2 of the National Electricity Amendment (Intervention compensation and settlement processes) Rule 2019 No. 5 will commence operation on 1 October 2021, immediately after the commencement of Schedule 6 of the National Electricity Amendment (Five Minute Settlement) Rule 2017 No. 15.

Schedule 2 of the National Electricity Amendment (Five minute settlement and global settlement implementation amendments) Rule 2019 No. 7 will commence operation on 1 October 2021, immediately after the commencement of Schedules 1 to 6 of the National Electricity Amendment (Five Minute Settlement) Rule 2017 No. 15.

Schedule 2 the National Electricity Amendment (Application of the regional reference node test to the Reliability and Emergency Reserve Trader) Rule 2019 No. 11 will commence operation on 1 October 2021 immediately after the commencement of Schedule 2 of the National Electricity Amendment (Intervention compensation and settlement processes) Rule 2019 No 5.

Schedule 2 of the National Electricity Amendment (Application of compensation in relation to AEMO interventions) Rule 2019 No. 13 will commence operation on 1 October 2021 immediately after the commencement of Schedule 2 of the National Electricity Amendment (Application of the regional reference node test to the Reliability and Emergency Reserve Trader) Rule 2019 No. 11.

Schedule 2 of the National Electricity Amendment (Transmission loss factors) Rule 2020 No. 2 will commence operation on 1 October 2021, immediately after commencement of Schedule 2 of the National Electricity Amendment (Five minute settlement and global settlement implementation amendments) Rule 2019 No. 7.

Schedule 2 of the National Electricity Amendment (Introduction of metering coordinator planned interruptions) Rule 2020 No. 7 will commence operation on 1 July 2021.

Schedules 1, 3, 4 and 5 of the National Electricity Amendment (Wholesale demand response mechanism) Rule 2020 No. 9 will commence operation on 24 October 2021.

Schedules 1 to 4 of the National Electricity Amendment (Global settlement and market reconciliation) Rule 2018 No. 14 will commence operation on 1 May 2022.

Schedules 3 to 5 of the National Electricity Amendment (Five minute settlement and global settlement implementation amendments) Rule 2019 No. 7 will commence operation on 1 May 2022, immediately after the commencement of Schedules 1 to 4 of the National Electricity Amendment (Global settlement and market reconciliation) Rule 2018 No. 14.

Schedule 2 of the National Electricity Amendment (Minor changes) Rule 2020 No. 3 will commence operation on 1 May 2022, immediately after the commencement of Schedules 3 to 5 of the National Electricity Amendment (Five minute settlement and global settlement implementation amendments) Rule 2019 No. 7.

Schedule 2 of the National Electricity Amendment (Mandatory primary frequency response) Rule 2020 No. 5 will commence operation on 4 June 2023.

TABLE OF CONTENTS

- 1. Introduction3**
- 1.1 Preliminary.....3**
 - 1.1.1 References to the Rules3
 - 1.1.2 Italicised expressions3
 - 1.1.3 [Deleted]3
- 1.2 Background3**
- 1.3 Nomenclature of and references to provisions of a Chapter3**
 - 1.3.1 Introduction.....3
 - 1.3.2 Parts, Divisions and Subdivisions.....3
 - 1.3.3 Rules, clauses, paragraphs, subparagraphs and other items4
- 1.4 Effect of renumbering of provisions of the Rules5**
- 1.5 [Deleted].....5**
- 1.6 [Deleted].....5**
- 1.7 Interpretation5**
 - 1.7.1 General.....5
- 1.8 Notices6**
 - 1.8.1 Service of notices under the Rules.....6
 - 1.8.2 Time of service6
 - 1.8.3 Counting of days.....7
 - 1.8.4 Reference to addressee7
- 1.9 Retention of Records and Documents.....7**
- 1.10 [Deleted].....7**
- 1.11 AEMO Rule Funds7**

- 2. Registered Participants and Registration13**
- 2.1 Registered Participants13**
 - 2.1.1 Purpose13
 - 2.1.2 General.....13
- 2.2 Generator.....13**
 - 2.2.1 Registration as a Generator.....13
 - 2.2.2 Scheduled Generator.....14
 - 2.2.3 Non-Scheduled Generator15
 - 2.2.4 Market Generator.....16
 - 2.2.5 Non-Market Generator.....16
 - 2.2.6 Ancillary services generating unit17
 - 2.2.7 Semi-Scheduled Generator18
- 2.3 Customer20**
 - 2.3.1 Registration as a Customer20
 - 2.3.2 First-Tier Customer21
 - 2.3.3 Second-Tier Customer21
 - 2.3.4 Market Customer21

2.3.5 Ancillary services load22

2.3A Small Generation Aggregator.....24

2.3A.1 Registration.....24

2.3AA Market Ancillary Service Provider25

2.3AA.1 Registration.....25

2.4 Market Participant26

2.4.1 Registration as a category of Market Participant.....26

2.4.2 Eligibility26

2.4A Metering Coordinator27

2.4A.1 Registration as a Metering Coordinator.....27

2.4A.2 Eligibility27

2.5 Network Service Provider28

2.5.1 Registration as a Network Service Provider28

2.5.1A Dedicated Connection Asset Service Provider29

2.5.2 Market Network Service.....30

2.5.3 Scheduled Network Service.....31

2.5A Trader32

2.5B Reallocator.....33

2.6 Special Participant.....33

2.7 Intention to Commence Activities or Functions33

2.8 Registered Participant Rights and Obligations34

2.8.1 Rights and obligations34

2.9 Application to be Registered as a Registered Participant.....34

2.9.1 Applications for Registration.....34

2.9.2 Registration as a Registered Participant34

2.9.3 Registration as an Intermediary35

2.9A Transfer of Registration36

2.9A.1 Definitions36

2.9A.2 Applications for Transfer of Registration.....37

2.9A.3 Approval for Transfer of Registration37

2.10 Ceasing to be a Registered Participant.....38

2.10.1 Notification of intention38

2.10.2 Ceasing Participation41

2.10.3 Liability after cessation.....41

2.11 Participant Fees41

2.11.1A Application41

2.11.1 Development of Participant fee structure41

2.11.2 Payment of Participant fees44

2.11.3 Budgeted revenue requirements44

2.12 Interpretation of References to Various Registered Participants.....45

2A. Regional Structure51

Part A	Region change applications and region determinations by AEMC	51
2A.1	Introduction to Chapter 2A	51
2A.1.1	Definitions	51
2A.1.2	AEMC determination of regions	51
2A.1.3	Publication of regions by AEMO	51
2A.2	Region change applications.....	51
2A.2.1	A complete region change application.....	51
2A.2.2	Identifying a congestion problem	52
2A.2.3	Explanation of economic efficiency of proposed region solution	52
2A.2.4	Region change application must be technically competent.....	52
2A.2.5	Alternative congestion management options	53
2A.2.6	Previous application relating to congestion problem.....	53
2A.2.7	AEMO to provide information to intending applicants.....	54
2A.2.8	AEMC may refuse to accept incomplete applications.....	54
2A.3	Acceptance of region change application by AEMC	55
2A.3.1	Acceptance of a region change application by AEMC.....	55
2A.3.2	Preliminary consultation prior to acceptance of a region change application.....	55
2A.4	First round consultation on region change application.....	56
2A.4.1	Notice of first round consultation	56
2A.4.2	Right to make written submissions during first round consultation period	56
2A.4.3	Alternative region solution put forward by third parties	56
2A.4.4	Acceptance as a formal alternative region solution by AEMC	57
2A.5	Provision of supplementary economic analysis.....	58
2A.5.1	AEMC may direct provision of supplementary analysis	58
2A.5.2	AEMC may direct AEMO to provide information.....	58
2A.6	Region determinations.....	59
2A.6.1	AEMC powers to make a region determination	59
2A.6.2	Matters for consideration in making region determination	59
2A.7	Draft region determination and second round consultation.....	60
2A.7.1	Publishing of draft region determination	60
2A.7.2	Second round consultation.....	60
2A.7.3	Right to make written submissions during second round consultation.....	60
2A.8	Final region determination	60
2A.8.1	Final determination for region change.....	60
2A.8.2	Formal publication of region determination	61
2A.9	Miscellaneous matters for region change process.....	61
2A.9.1	AEMC may extend periods of time specified in Chapter 2A	61
2A.9.2	Consultation meeting in relation to region change application	61
Part B	Implementation of region determination.....	62
2A.10	Purpose and application of Part B	62
2A.10.1	Purpose of Part B	62

2A.10.2 Application of Part B62

2A.11 Region Change Implementation Procedure62

2A.11.1 Submission of draft Region Change Implementation Procedure62

2A.11.2 Approved Region Change Implementation Procedure63

2A.12 AEMO region change implementation functions63

2A.12.1 General implementation functions63

2A.12.2 Implementation functions referable to published implementation procedure63

2A.12.3 Amendment of current or subsequent Regions and Loss Factors Publication63

2A.13 Continuity of regions63

Schedule 2A.1 Glossary for Chapter 2A64

3. Market Rules69

3.1 Introduction to Market Rules69

3.1.1 Purpose69

3.1.1A Definitions69

3.1.2 [Deleted]70

3.1.3 [Deleted]70

3.1.4 Market design principles70

3.1.5 Time for undertaking action71

3.2 AEMO's Market Responsibilities71

3.2.1 Market functions of AEMO71

3.2.2 Spot market71

3.2.3 Power system operations72

3.2.4 Non-market ancillary services function72

3.2.5 [Deleted]72

3.2.6 Settlements72

3.3 Prudential Requirements72

3.3.1 Market Participant criteria72

3.3.2 Credit support72

3.3.3 Acceptable credit criteria73

3.3.4 Acceptable credit rating74

3.3.4A Prudential standard74

3.3.5 Amount of credit support74

3.3.6 Changes to credit support74

3.3.7 Drawings on credit support75

3.3.8 Credit limit procedures and prudential settings75

3.3.8A Security Deposits77

3.3.9 Outstandings77

3.3.10 Trading limit78

3.3.11 Call notices78

3.3.12 Typical accrual79

3.3.13 Response to Call Notices79

3.3.13A Application of monies in the security deposit fund80

3.3.14 Potential value of a transaction81

3.3.15 Trading margin82

- 3.3.16 Limitation on entry of transactions82
- 3.3.17 Scheduled prices82
- 3.3.18 Additional credit support83
- 3.3.19 Consideration of other Market Participant transactions83
- 3.4 Spot Market.....83**
- 3.4.1 Establishment of spot market.....83
- 3.4.2 Trading day and trading interval.....84
- 3.4.3 Spot market operations timetable84
- 3.5 Regions.....84**
- 3.5.1 [Deleted]84
- 3.5.2 [Deleted]84
- 3.5.3 [Deleted]84
- 3.5.4 [Deleted]84
- 3.5.5 [Deleted]84
- 3.5.6 [Deleted]84
- 3.6 Network Losses and Constraints.....84**
- 3.6.1 Inter-regional losses84
- 3.6.2 Intra-regional losses86
- 3.6.2A Load and generation data used to determine inter-regional loss factor equations and intra-regional loss factors89
- 3.6.3 Distribution losses90
- 3.6.4 Network constraints95
- 3.6.5 Settlements residue due to network losses and constraints95
- 3.7 Projected Assessment of System Adequacy.....97**
- 3.7.1 Administration of PASA.....97
- 3.7.2 Medium term PASA98
- 3.7.3 Short term PASA100
- 3.7A Congestion information resource103**
- 3.7B Unconstrained intermittent generation forecast.....105**
- 3.7C Energy Adequacy Assessment Projection106**
- 3.7D Demand side participation information.....109**
- 3.7E Register of DER information111**
- 3.7F Generation information page.....115**
- 3.8 Central Dispatch and Spot Market Operation116**
- 3.8.1 Central Dispatch116
- 3.8.2 Participation in central dispatch.....118
- 3.8.3 Bid and offer aggregation guidelines.....119
- 3.8.3A Ramp rates120
- 3.8.4 Notification of scheduled capacity122
- 3.8.5 Submission timing123
- 3.8.6 Generating unit offers for dispatch124
- 3.8.6A Scheduled network service offers for dispatch126
- 3.8.7 Bids for scheduled load127
- 3.8.7A Market ancillary services offers.....129
- 3.8.8 Validation of dispatch bids and offers130

3.8.9	Default offers and bids.....	131
3.8.10	Network constraints.....	131
3.8.11	Ancillary services constraints.....	133
3.8.12	System scheduled reserve constraints.....	133
3.8.13	Notification of constraints.....	133
3.8.14	Dispatch under conditions of supply scarcity.....	133
3.8.15	[Deleted].....	134
3.8.16	Equal priced dispatch bids and dispatch offers.....	134
3.8.17	Self-commitment.....	134
3.8.18	Self-decommitment.....	135
3.8.19	Dispatch inflexibilities.....	135
3.8.20	Pre-dispatch schedule.....	138
3.8.21	On-line dispatch process.....	140
3.8.22	Rebidding.....	141
3.8.22A	Offers, bids and rebids must not be false or misleading.....	143
3.8.23	Failure to conform to dispatch instructions.....	144
3.8.24	Scheduling errors.....	146
3.9	Price Determination.....	146
3.9.1	Principles applicable to spot price determination.....	146
3.9.2	Determination of spot prices.....	148
3.9.2A	Determination of ancillary services prices.....	149
3.9.2B	Pricing where AEMO determines a manifestly incorrect input.....	150
3.9.3	Pricing in the event of intervention by AEMO.....	151
3.9.3A	Reliability standard and settings review.....	153
3.9.3B	Reliability standard and settings review report.....	155
3.9.3C	Reliability standard.....	155
3.9.3D	Implementation of the reliability standard.....	156
3.9.4	Market Price Cap.....	156
3.9.5	Application of the Market Price Cap.....	157
3.9.6	Market Floor Price.....	158
3.9.6A	Application of the Market Floor Price.....	158
3.9.7	Pricing for constrained-on scheduled generating units.....	158
3.10	[Deleted].....	159
3.11	Ancillary Services.....	159
3.11.1	Introduction.....	159
3.11.2	Market ancillary services.....	160
3.11.2A	AER reporting on market ancillary services markets.....	161
3.11.3	Acquisition of Network Support and Control Ancillary Service.....	162
3.11.4	[Not used].....	162
3.11.5	Tender process for network support and control ancillary services.....	162
3.11.6	Dispatch of network support and control ancillary services by AEMO.....	164
3.11.7	Guidelines and objectives for acquisition of system restart ancillary services by AEMO.....	165
3.11.8	Determination of electrical sub-network boundaries.....	166
3.11.9	Acquisition of system restart ancillary services by AEMO.....	167
3.11.10	Reporting.....	168
3.12	Market Intervention by AEMO.....	168
3.12.1	Intervention settlement timetable.....	168

3.12.2	Affected Participants and Market Customers entitlements to compensation in relation to AEMO intervention.....	168
3.12.3	Role of the Independent Expert in calculating payments in relation to intervention by AEMO and market suspension pricing schedule periods.....	172
3.12A	Mandatory restrictions.....	175
3.12A.1	Restriction offers	175
3.12A.2	Mandatory restrictions schedule	177
3.12A.3	Acquisition of capacity	177
3.12A.4	Rebid of capacity under restriction offers.....	177
3.12A.5	Dispatch of restriction offers	178
3.12A.6	Pricing during a restriction price trading interval.....	178
3.12A.7	Determination of funding restriction shortfalls	178
3.12A.8	Cancellation of a mandatory restriction period.....	183
3.12A.9	Review by AEMC.....	183
3.13	Market Information.....	184
3.13.1	Provision of information.....	184
3.13.2	Systems and procedures.....	184
3.13.3	Standing data	185
3.13.3AA	Standing data: Project developers.....	191
3.13.3A	Statement of opportunities	191
3.13.4	Spot market.....	193
3.13.4A	Market ancillary services.....	197
3.13.5	Ancillary services contracting by AEMO.....	197
3.13.5A	Settlements residue auctions.....	198
3.13.6	[Deleted]	198
3.13.6A	Report by AEMO.....	198
3.13.7	Monitoring of significant variation between forecast and actual prices by AER.....	199
3.13.8	Public information	200
3.13.9	[Deleted]	201
3.13.10	Market auditor	201
3.13.11	[Deleted]	201
3.13.12	NMI Standing Data.....	201
3.13.12A	NMI Standing Data Schedule	205
3.13.13	Inter-network tests	205
3.13.14	Carbon Dioxide Equivalent Intensity Index	206
3.14	Administered Price Cap and Market Suspension.....	209
3.14.1	Cumulative Price Threshold and Administered Price Cap	209
3.14.2	Application of Administered Price Cap.....	210
3.14.3	Conditions for suspension of the spot market.....	211
3.14.4	Declaration of market suspension.....	212
3.14.5	Pricing during market suspension.....	213
3.14.5A	Payment of compensation due to market suspension pricing schedule periods.....	215
3.14.5B	Claims for additional compensation due to market suspension pricing schedule periods	218
3.14.6	Compensation due to the application of an administered price cap or administered floor price.....	219

3.15	Settlements.....	223
3.15.1	Settlements management by AEMO	223
3.15.2	Electronic funds transfer	224
3.15.3	Connection point and virtual transmission node responsibility.....	224
3.15.4	Adjusted energy amounts connection points	224
3.15.5	Adjusted energy - transmission network connection points	225
3.15.5A	Adjusted energy - virtual transmission nodes.....	225
3.15.6	Spot market transactions.....	225
3.15.6A	Ancillary service transactions.....	226
3.15.7	Payment to Directed Participants.....	238
3.15.7A	Payment to Directed Participants for services other than energy and market ancillary services	240
3.15.7B	Claim for additional compensation by Directed Participants	242
3.15.8	Funding of Compensation for directions	245
3.15.8A	Funding of compensation for market suspension pricing schedule periods.....	249
3.15.9	Reserve settlements	251
3.15.9A	Procurer of last resort cost allocation	253
3.15.10	Administered price cap or administered floor price compensation payments	257
3.15.10A	Goods and services tax	258
3.15.10B	Restriction contract amounts	259
3.15.10C	Intervention and Market Suspension Pricing Schedule Period Settlements.....	260
3.15.11	Reallocation transactions	263
3.15.11A	Reallocation procedures.....	265
3.15.12	Settlement amount	265
3.15.13	Payment of settlement amount.....	266
3.15.14	Preliminary statements.....	266
3.15.15	Final statements	266
3.15.15A	Use of estimated settlement amounts by AEMO.....	266
3.15.16	Payment by market participants.....	267
3.15.17	Payment to market participants	267
3.15.18	Disputes	267
3.15.19	Revised Statements and Adjustments	268
3.15.20	Payment of adjustments	270
3.15.21	Default procedure	270
3.15.22	Maximum total payment in respect of a billing period.....	274
3.15.23	Maximum total payment in respect of a financial year	276
3.15.24	Compensation for reductions under clause 3.15.23	277
3.15.25	Interest on overdue amounts	277
3.16	Participant compensation fund	277
3.16.1	Establishment of Participant compensation fund	277
3.16.2	Dispute resolution panel to determine compensation	278
3.17	[Deleted].....	279
3.18	Settlements Residue Auctions	279
3.18.1	Settlements residue concepts	279
3.18.2	Auctions and eligible persons	280
3.18.3	Auction rules.....	281

- 3.18.3A Secondary trading of SRD units283
- 3.18.4 Proceeds and fees.....283
- 3.18.4A Secondary trading proceeds and margin.....284
- 3.18.5 Settlement residue committee.....285
- 3.19 Market Management Systems Access Procedures.....286**
- 3.20 Reliability and Emergency Reserve Trader287**
- 3.20.1 Definitions287
- 3.20.2 Reliability and emergency reserve trader287
- 3.20.3 Reserve contracts288
- 3.20.4 Dispatch pricing methodology for unscheduled reserve contracts290
- 3.20.5 AEMO's risk management and accounts relating to the reliability safety net.....290
- 3.20.6 Reporting on RERT by AEMO290
- 3.20.7 AEMO's exercise of the RERT293
- 3.20.8 RERT Guidelines.....294
- 3.20.9 [Deleted]295
- Schedule 3.1 Bid and Offer Validation Data295**
- Schedule 3.2 [Deleted].....299**
- Schedule 3.3 [Deleted].....299**

- 4. Power System Security303**
- 4.1 Introduction.....303**
- 4.1.1 Purpose303
- 4.2 Definitions and Principles303**
- 4.2.1 [Deleted]303
- 4.2.2 Satisfactory Operating State303
- 4.2.3 Credible and non-credible contingency events and protected events304
- 4.2.3A Re-classifying contingency events305
- 4.2.3B Criteria for re-classifying contingency events306
- 4.2.4 Secure operating state and power system security.....307
- 4.2.5 Technical envelope307
- 4.2.6 General principles for maintaining power system security308
- 4.2.7 Reliable Operating State309
- 4.2.8 Time for undertaking action309
- 4.3 Power System Security Responsibilities and Obligations309**
- 4.3.1 Responsibility of AEMO for power system security309
- 4.3.2 System security311
- 4.3.3 The role of System Operators314
- 4.3.4 Network Service Providers315
- 4.3.5 Market Customer obligations320
- 4.4 Power System Frequency Control.....321**
- 4.4.1 Power system frequency control responsibilities321
- 4.4.2 Operational frequency control requirements321
- 4.4.2A Primary Frequency Response Requirements321
- 4.4.2B Approval of variations or exemptions323
- 4.4.3 Generator protection requirements323

4.4.4	Instructions to enable inertia network services.....	324
4.4.5	Instructions to enable system strength services	325
4.5	Control of Power System Voltage	326
4.5.1	Power system voltage control.....	326
4.5.2	Reactive power reserve requirements	327
4.5.3	Audit and testing.....	327
4.6	Protection of Power System Equipment.....	328
4.6.1	Power system fault levels	328
4.6.2	Power system protection co-ordination	328
4.6.3	Audit and testing.....	328
4.6.4	Short-term thermal ratings of power system	328
4.6.5	Partial outage of power protection systems	328
4.6.6	System strength impact assessment guidelines.....	329
4.7	Power System Stability Co-ordination.....	330
4.7.1	Stability analysis co-ordination	330
4.7.2	Audit and testing.....	331
4.8	Power System Security Operations	331
4.8.1	Registered Participants' advice	331
4.8.2	Protection or control system abnormality.....	331
4.8.3	AEMO's advice on power system emergency conditions.....	331
4.8.4	Declaration of conditions.....	332
4.8.4A	Reserve level declaration guidelines.....	332
4.8.4B	Lack of reserve framework reporting	333
4.8.5	Managing declarations of conditions.....	334
4.8.5A	Determination of the latest time for AEMO intervention.....	334
4.8.5B	Notifications of last time of AEMO intervention	335
4.8.6	[Deleted]	335
4.8.7	Managing a power system contingency event.....	335
4.8.8	[Deleted]	336
4.8.9	Power to issue directions and clause 4.8.9 instructions.....	336
4.8.9A	System security directions	338
4.8.10	Disconnection of generating units and market network services	338
4.8.11	[Deleted]	338
4.8.12	System restart plan and local black system procedures.....	338
4.8.13	[Deleted]	340
4.8.14	Power system restoration.....	340
4.8.15	Review of operating incidents	340
4.8.16	AEMO reporting on frequency performance.....	342
4.9	Power System Security Related Market Operations.....	343
4.9.1	Load forecasting	343
4.9.2	Instructions to Scheduled Generators and Semi-Scheduled Generators.....	344
4.9.2A	Dispatch Instructions to Scheduled Network Service Providers	345
4.9.3	Instructions to Registered Participants	345
4.9.3A	Ancillary services instructions.....	346
4.9.3B	Compliance with dispatch instructions.....	347
4.9.4	Dispatch related limitations on Scheduled Generators and Semi- Scheduled Generators	347

4.9.4A	Dispatch related limitations on Scheduled Network Service Providers	348
4.9.5	Form of dispatch instructions	349
4.9.6	Commitment of scheduled generating units	349
4.9.7	De-commitment, or output reduction, by Scheduled Generators	350
4.9.8	General responsibilities of Registered Participants	351
4.9.9	Scheduled Generator plant changes	352
4.9.9A	Scheduled Network Service Provider plant changes	353
4.9.9B	Ancillary service plant changes	353
4.9.9C	Inertia network service availability changes	353
4.9.9D	System strength service availability changes	353
4.10	Power System Operating Procedures	353
4.10.1	Power system operating procedures	353
4.10.2	Transmission network operations	354
4.10.3	Operating interaction with distribution networks	355
4.10.4	Switching of a Distributor's high voltage networks	355
4.10.5	Switching of reactive power facilities	356
4.10.6	Automatic reclose	356
4.10.7	Inspection of facilities by AEMO	356
4.11	Power System Security Support	356
4.11.1	Remote control and monitoring devices	356
4.11.2	Operational control and indication communication facilities	358
4.11.3	Power system voice/data operational communication facilities	358
4.11.4	Records of power system operational communication	360
4.11.5	Agent communications	360
4.12	Nomenclature Standards.....	361
4.13	[Deleted].....	362
4.14	Acceptance of Performance Standards.....	362
4.15	Compliance with Performance Standards.....	364
4.16	Transitioning arrangements for establishment of performance standards	367
4.16.1	Definitions	367
4.16.2	Exclusions	369
4.16.3	Notification and acceptance of performance standards	369
4.16.4	Actual capability	374
4.16.5	Criteria for, and negotiation of, performance standards	375
4.16.6	Consultation with and assistance by Network Service Providers	377
4.16.7	Referral to expert determination	378
4.16.8	Prior actions	378
4.16.9	Deemed performance standards	379
4.16.10	Modification of connection agreements	379
4.17	Expert determination	379
4.17.1	Performance standards committee and appointment of performance standards experts	379
4.17.2	Referral to performance standards expert	381
4.17.3	Determinations of performance standards experts	381
4.17.4	Other matters	382

- 4A. Retailer Reliability Obligation387**
- Part A Introduction.....387**
- 4A.A Definitions.....387**
- 4A.A.1 Definitions387
- 4A.A.2 Forecast reliability gap materiality390
- 4A.A.3 One-in-two year peak demand forecast390
- 4A.A.4 Peak demand390
- Part B Reliability Forecasts391**
- 4A.B.1 Reliability forecast391
- 4A.B.2 Reliability forecast components391
- 4A.B.3 Supporting materials392
- 4A.B.4 Reliability Forecast Guidelines.....392
- 4A.B.5 AER Forecasting Best Practice Guidelines393
- Part C Reliability Instruments.....394**
- Division 1 AEMO request for a reliability instrument.....394**
- 4A.C.1 AEMO request for a reliability instrument394
- 4A.C.2 AEMO request for a T-3 reliability instrument394
- 4A.C.3 AEMO request for a T-1 reliability instrument395
- 4A.C.4 Related T-3 reliability instrument.....395
- 4A.C.5 Notification of a closed forecast reliability gap at T-1395
- 4A.C.6 Corrections to a request396
- 4A.C.7 Withdrawing a request396
- Division 2 AER making of a reliability instrument396**
- 4A.C.8 AER making of a reliability instrument.....396
- 4A.C.9 When a decision by the AER must be made.....396
- 4A.C.10 T-1 reliability instrument components.....397
- 4A.C.11 AER decision making criteria.....397
- 4A.C.12 Reliability Instrument Guidelines397
- Part D Liable Entities398**
- 4A.D.1 Application398
- 4A.D.2 Liable entities.....398
- 4A.D.3 New entrants399
- 4A.D.4 Application to register as large opt-in customer399
- 4A.D.5 Application to register as prescribed opt-in customer400
- 4A.D.6 Thresholds.....400
- 4A.D.7 Opt-in cut-off day401
- 4A.D.8 AER approval of applications.....401
- 4A.D.9 AER opt-in register.....402
- 4A.D.10 Changes to register402
- 4A.D.11 AER register taken to be correct.....402
- 4A.D.12 AEMO Opt-In Procedures403
- 4A.D.13 AER Opt-In Guidelines403
- Part E Qualifying Contracts and Net Contract Position.....404**
- Division 1 Key concepts.....404**
- 4A.E.1 Qualifying contracts.....404
- 4A.E.2 Net contract position.....405

Division 2	Firmness methodologies	405
4A.E.3	Firmness methodology	405
4A.E.4	Types of methodologies.....	406
4A.E.5	Approval of a bespoke firmness methodology	406
Division 3	Reporting net contract position	407
4A.E.6	Reporting requirements	407
Division 4	Adjustment of net contract position.....	408
4A.E.7	Adjustment of net contract position.....	408
Division 5	Contracts and Firmness Guidelines	409
4A.E.8	Contracts and Firmness Guidelines	409
Part F	Compliance with the Retailer Reliability Obligation	410
Division 1	Application	410
4A.F.1	Application	410
Division 2	Key concepts.....	410
4A.F.2	Compliance TI	410
4A.F.3	Share of one-in-two year peak demand forecast.....	410
Division 3	AEMO notifications to AER.....	412
4A.F.4	AEMO notification of compliance trading intervals	412
4A.F.5	AEMO compliance report.....	412
Division 4	AER assessment of compliance.....	412
4A.F.6	Reliability Compliance Procedures and Guidelines	412
4A.F.7	AER assessment.....	413
4A.F.8	AER notification to AEMO for PoLR costs	413
Division 5	Miscellaneous	414
4A.F.9	Demand response information	414
4A.F.10	PoLR cost procedures	414
Part G	Market Liquidity Obligation	414
Division 1	Preliminary.....	414
4A.G.1	Overview of Part G.....	414
4A.G.2	Purpose and application.....	415
Division 2	Market Generators and trading right holders	415
4A.G.3	Market Generators and generator capacity	415
4A.G.4	Trading rights and trading right holders	416
Division 3	Trading groups.....	416
4A.G.5	Trading group	416
4A.G.6	Controlling entity.....	417
Division 4	Traced capacity and trading group capacity	418
4A.G.7	Traced capacity	418
4A.G.8	Tracing capacity to trading groups	418
4A.G.9	Trading group capacity	419
Division 5	MLO generators and MLO groups.....	419
4A.G.10	MLO group	419
4A.G.11	MLO generator	419

Division 6 Market Generator information420
4A.G.12 MLO register 420
4A.G.13 Market Generator information 421
4A.G.14 Applications to the AER 422

Division 7 Liquidity period423
4A.G.15 Notices prior to a liquidity period 423
4A.G.16 Duration of liquidity period 423

Division 8 Liquidity obligation424
4A.G.17 Liquidity obligation 424
4A.G.18 Performing a liquidity obligation 424
4A.G.19 Volume limits 425
4A.G.20 Appointment of MLO nominee 426
4A.G.21 Exemptions 427

Division 9 MLO products and MLO exchange427
4A.G.22 MLO products 427
4A.G.23 MLO exchange 428

Division 10 Miscellaneous429
4A.G.24 MLO compliance and reporting 429
4A.G.25 MLO Guidelines 429

Part H Voluntary Book Build.....430
4A.H.1 Purpose and application 430
4A.H.2 Book Build Procedures 430
4A.H.3 Commencement of voluntary book build 431
4A.H.4 Participation in the voluntary book build 432
4A.H.5 Book build fees 432
4A.H.6 Reporting 432

5. Network Connection Access, Planning and Expansion435

Part A Introduction.....435

5.1 Introduction to Chapter 5435
5.1.1 Structure of this Chapter 435
5.1.2 Overview of Part B and connection and access under the Rules 435

Part B Network Connection and Access438

5.1A Introduction to Part B438
5.1A.1 Purpose and Application 438
5.1A.2 Principles 440

5.2 Obligations.....441
5.2.1 Obligations of Registered Participants 441
5.2.2 Connection agreements 441
5.2.3 Obligations of network service providers 442
5.2.3A Obligations of Market Network Service Providers 447
5.2.4 Obligations of customers 447
5.2.5 Obligations of Generators 449
5.2.6 Obligations of AEMO 450
5.2.6A AEMO review of technical requirements for connection 450

5.2.7	Obligations of Dedicated Connection Asset Service Providers	451
5.2A	Transmission network connection and access.....	452
5.2A.1	Application	452
5.2A.2	Relevant assets.....	452
5.2A.3	Connection and access to transmission services.....	453
5.2A.4	Transmission services related to connection	454
5.2A.5	Publication and provision of information	458
5.2A.6	Negotiating principles.....	458
5.2A.7	Third party IUSAs	459
5.2A.8	Access framework for large dedicated connection assets.....	460
5.3	Establishing or Modifying Connection	462
5.3.1	Process and procedures.....	462
5.3.1A	Application of rule to connection of embedded generating units.....	462
5.3.2	Connection enquiry.....	463
5.3.3	Response to connection enquiry	464
5.3.4	Application for connection	467
5.3.4A	Negotiated access standards	469
5.3.4B	System strength remediation for new connections	472
5.3.5	Preparation of offer to connect	475
5.3.6	Offer to connect	476
5.3.7	Finalisation of connection agreements and network operating agreements	479
5.3.8	Provision and use of information.....	481
5.3.9	Procedure to be followed by a Generator proposing to alter a generating system	482
5.3.10	Acceptance of performance standards for generating plant that is altered	484
5.3.11	Notification of request to change normal voltage.....	485
5.3A	Establishing or modifying connection - embedded generation.....	485
5.3A.1	Application of rule 5.3A	485
5.3A.2	Definitions and miscellaneous	486
5.3A.3	Publication of Information.....	487
5.3A.4	Fees	488
5.3A.5	Enquiry	489
5.3A.6	Response to Enquiry	490
5.3A.7	Preliminary Response to Enquiry	490
5.3A.8	Detailed Response to Enquiry	491
5.3A.9	Application for connection	492
5.3A.10	Preparation of offer to connect	493
5.3A.11	Technical Dispute	494
5.3A.12	Network support payments and functions	494
5.3AA	Access arrangements relating to Distribution Networks	494
5.3B	Application for connection to declared shared network.....	498
5.4	Independent Engineer	498
5.4.1	Application	498
5.4.2	Establishment of a pool	499
5.4.3	Initiating the Independent Engineer process.....	499
5.4.4	Referral to the Adviser.....	500

5.4.5	Proceedings and decisions of the Independent Engineer.....	501
5.4.6	Costs of the Independent Engineer	501
5.4A	[Deleted].....	502
5.4AA	[Deleted].....	502
5.5	Commercial arbitration for prescribed and negotiated transmission services and large DCA services	502
5.5.1	Application	502
5.5.2	Notification of dispute	502
5.5.3	Appointment of commercial arbitrator	503
5.5.4	Procedures of commercial arbitrator.....	504
5.5.5	Powers of commercial arbitrator in determining disputes.....	504
5.5.6	Determination of disputes.....	505
5.5.7	Costs of dispute.....	506
5.5.8	Enforcement of agreement or determination and requirement for reasons	506
5.5.9	Miscellaneous	507
5.5A	[Deleted].....	507
Part C	Post-Connection Agreement matters	507
5.6	Design of Connected Equipment	507
5.6.1	Application	507
5.6.2	Advice of inconsistencies	507
5.6.3	Additional information	508
5.6.4	Advice on possible non-compliance	508
5.6A	[Deleted].....	509
5.7	Inspection and Testing	509
5.7.1	Right of entry and inspection.....	509
5.7.2	Right of testing	511
5.7.3	Tests to demonstrate compliance with connection requirements for generators.....	512
5.7.3A	Tests to demonstrate compliance with system strength remediation schemes.....	513
5.7.4	Routine testing of protection equipment.....	515
5.7.5	Testing by Registered Participants of their own plant requiring changes to normal operation.....	516
5.7.6	Tests of generating units requiring changes to normal operation.....	518
5.7.7	Inter-network power system tests	519
5.7.8	Contestable IUSA components.....	525
5.8	Commissioning	525
5.8.1	Requirement to inspect and test equipment	525
5.8.2	Co-ordination during commissioning	526
5.8.3	Control and protection settings for equipment	526
5.8.4	Commissioning program	527
5.8.5	Commissioning tests.....	528
5.9	Disconnection and Reconnection.....	528
5.9.1	Voluntary disconnection.....	528
5.9.2	Decommissioning procedures.....	529

5.9.3	Involuntary disconnection	529
5.9.4	Direction to disconnect	530
5.9.4A	Notification of disconnection	530
5.9.5	Disconnection during an emergency	531
5.9.6	Obligation to reconnect.....	531
Part D	Network Planning and Expansion.....	532
5.10	Network development generally	532
5.10.1	Content of Part D	532
5.10.2	Definitions	533
5.10.3	Interpretation.....	538
5.11	Forecasts of connection to transmission network and identification of system limitations.....	538
5.11.1	Forecasts for connection to transmission network.....	538
5.11.2	Identification of network limitations	539
5.12	Transmission annual planning process.....	539
5.12.1	Transmission annual planning review	539
5.12.2	Transmission Annual Planning Report.....	540
5.13	Distribution annual planning process.....	544
5.13.1	Distribution annual planning review	544
5.13.2	Distribution Annual Planning Report	545
5.13.3	Distribution system limitation template.....	546
5.13A	Distribution zone substation information.....	547
5.14	Joint planning.....	549
5.14.1	Joint planning obligations of Transmission Network Service Providers and Distribution Network Service Providers.....	549
5.14.2	Joint planning obligations of Distribution Network Service Providers and Distribution Network Service Providers.....	550
5.14.3	Joint planning obligations of Transmission Network Service Providers	551
5.14.4	Joint planning by Transmission Network Service Providers and AEMO.....	551
5.14A	Joint planning in relation to retirement or de-ratings of network assets forming part of the Declared Shared Network.....	552
5.14B	TAPR Guidelines	552
5.14B.1	Development of TAPR Guidelines	552
5.15	Regulatory investment tests generally	552
5.15.1	Interested parties	552
5.15.2	Identification of a credible option.....	552
5.15.3	Review of costs thresholds	554
5.15.4	Costs determinations.....	556
5.15A	Regulatory investment test for transmission.....	556
5.15A.1	General principles and application	556
5.15A.2	Principles for RIT-T projects which are not actionable ISP projects	557
5.15A.3	Principles for actionable ISP projects.....	559

- 5.16 Application of RIT-T to RIT-T projects which are not actionable ISP projects.....561**
- 5.16.1 Application561
- 5.16.2 Regulatory investment test for transmission application guidelines561
- 5.16.3 Investments subject to the regulatory investment test for transmission562
- 5.16.4 Regulatory investment test for transmission procedures565
- 5.16.5 [Deleted]571
- 5.16B Disputes in relation to application of regulatory investment test for transmission571**
- 5.16.6 [Deleted]573
- 5.16A Application of the RIT-T to actionable ISP Projects573**
- 5.16A.1 Application573
- 5.16A.2 Cost Benefit Analysis Guidelines573
- 5.16A.3 Actionable ISP projects subject to the RIT-T574
- 5.16A.4 Regulatory investment test for transmission procedures574
- 5.16A.5 Actionable ISP project trigger event.....577
- 5.17 Regulatory investment test for distribution578**
- 5.17.1 Principles578
- 5.17.2 Regulatory investment test for distribution application guidelines580
- 5.17.3 Projects subject to the regulatory investment test for distribution581
- 5.17.4 Regulatory investment test for distribution procedures583
- 5.17.5 Disputes in relation to application of regulatory investment test for distribution587
- 5.18 Construction of funded augmentations589**
- 5.18A Large generator connections590**
- 5.18A.1 Definitions590
- 5.18A.2 Register of large generator connections.....590
- 5.18A.3 Impact assessment of large generator connections591
- 5.18B Completed embedded generation projects592**
- 5.18B.1 Definitions592
- 5.18B.2 Register of completed embedded generation projects592
- 5.19 SENE Design and Costing Study.....593**
- 5.19.1 Definitions593
- 5.19.2 Interpretation.....593
- 5.19.3 Request for SENE Design and Costing Study594
- 5.19.4 Content of SENE Design and Costing Study595
- 5.19.5 Co-operation of other Network Service Providers596
- 5.19.6 Publication of SENE Design and Costing Study report596
- 5.19.7 Provision and use of information.....596
- 5.20 System security reports597**
- 5.20.1 Definitions597
- 5.20.2 Publication of NSCAS methodology597
- 5.20.3 Publication of NSCAS Report598
- 5.20.4 Inertia requirements methodology598
- 5.20.5 Publication of Inertia Report599
- 5.20.6 Publication of system strength requirements methodologies599

5.20.7 Publication of System Strength Report.....600

5.20A Frequency management planning600

5.20A.1 Power system frequency risk review600

5.20A.2 Power system frequency risk review process601

5.20A.3 Power system frequency risk review report.....601

5.20A.4 Request for protected event declaration.....602

5.20A.5 Request to revoke a protected event declaration603

5.20B Inertia sub-networks and requirements603

5.20B.1 Boundaries of inertia sub-networks603

5.20B.2 Inertia requirements604

5.20B.3 Inertia shortfalls604

5.20B.4 Inertia Service Provider to make available inertia services.....605

5.20B.5 Inertia support activities607

5.20B.6 Inertia network services information and approvals.....608

5.20C System strength requirements610

5.20C.1 System strength requirements.....610

5.20C.2 Fault level shortfalls611

5.20C.3 System Strength Service Provider to make available system strength services.....611

5.20C.4 System strength services information and approvals.....613

5.21 AEMO's obligation to publish information and guidelines and provide advice615

5.22 Integrated System Plan617

5.22.1 Duty of AEMO to make Integrated System Plan617

5.22.2 Purpose of the ISP617

5.22.3 Power system needs.....617

5.22.4 ISP timetable.....617

5.22.5 Guidelines relevant to the ISP618

5.22.6 Content of Integrated System Plan619

5.22.7 ISP consumer panel621

5.22.8 Preliminary consultations621

5.22.9 AER transparency review on Inputs, Assumptions and Scenarios Report622

5.22.10 Preparation of ISP.....623

5.22.11 Draft Integrated System Plan.....625

5.22.12 Non-network options626

5.22.13 AER transparency review of draft Integrated System Plan627

5.22.14 Final Integrated System Plan.....627

5.22.15 ISP updates627

5.22.16 ISP database.....629

5.22.17 Jurisdictional planning bodies and jurisdictional planning representatives629

5.22.18 NTP Functions.....629

5.23 Disputes in relation to an ISP630

5.23.1 Disputing party630

5.23.2 Initial AER review.....630

5.23.3 Provision of further information.....631

5.23.4 AER determination.....631

- Schedule 5.1a System standards632**
- S5.1a.1 Purpose 632
- S5.1a.2 Frequency 632
- S5.1a.3 System stability..... 632
- S5.1a.4 Power frequency voltage 633
- S5.1a.5 Voltage fluctuations..... 634
- S5.1a.6 Voltage waveform distortion 634
- S5.1a.7 Voltage unbalance 635
- S5.1a.8 Fault clearance times 636

- Schedule 5.1 Network Performance Requirements to be Provided or Co-ordinated by Network Service Providers637**
- S5.1.1 Introduction..... 637
- S5.1.2 Network reliability..... 638
- S5.1.2.1 Credible contingency events..... 638
- S5.1.2.2 Network service within a region..... 639
- S5.1.2.3 Network service between regions 640
- S5.1.3 Frequency variations..... 640
- S5.1.4 Magnitude of power frequency voltage..... 640
- S5.1.5 Voltage fluctuations..... 641
- S5.1.6 Voltage harmonic or voltage notching distortion 642
- S5.1.7 Voltage unbalance 643
- S5.1.8 Stability..... 644
- S5.1.9 Protection systems and fault clearance times 645
- S5.1.10 Load, generation and network control facilities 648
- S5.1.10.1 General..... 648
- S5.1.10.1a Emergency frequency control schemes 649
- S5.1.10.2 Distribution Network Service Providers..... 650
- S5.1.10.3 Transmission Network Service Providers 650
- S5.1.11 Automatic reclosure of transmission or distribution lines..... 651
- S5.1.12 Rating of transmission lines and equipment..... 651
- S5.1.13 Information to be provided 652

- Schedule 5.2 Conditions for Connection of Generators652**
- S5.2.1 Outline of requirements 652
- S5.2.2 Application of Settings 653
- S5.2.3 Technical matters to be coordinated 653
- S5.2.4 Provision of information..... 654
- S5.2.5 Technical requirements..... 657
- S5.2.5.1 Reactive power capability..... 657
- S5.2.5.2 Quality of electricity generated..... 658
- S5.2.5.3 Generating system response to frequency disturbances 659
- S5.2.5.4 Generating system response to voltage disturbances..... 662
- S5.2.5.5 Generating system response to disturbances following contingency events 664
- S5.2.5.6 Quality of electricity generated and continuous uninterrupted operation 672
- S5.2.5.7 Partial load rejection 672
- S5.2.5.8 Protection of generating systems from power system disturbances 672
- S5.2.5.9 Protection systems that impact on power system security..... 674
- S5.2.5.10 Protection to trip plant for unstable operation 675

S5.2.5.11	Frequency control	676
S5.2.5.12	Impact on network capability	679
S5.2.5.13	Voltage and reactive power control	680
S5.2.5.14	Active power control	687
S5.2.6	Monitoring and control requirements	689
S5.2.6.1	Remote Monitoring.....	689
S5.2.6.2	Communications equipment	691
S5.2.7	Power station auxiliary supplies	692
S5.2.8	Fault current.....	692
Schedule 5.3	Conditions for Connection of Customers	694
S5.3.1a	Introduction to the schedule.....	694
S5.3.1	Information	695
S5.3.2	Design standards	696
S5.3.3	Protection systems and settings	697
S5.3.4	Settings of protection and control systems	698
S5.3.5	Power factor requirements	699
S5.3.6	Balancing of load currents	699
S5.3.7	Voltage fluctuations.....	700
S5.3.8	Harmonics and voltage notching	700
S5.3.9	Design requirements for Network Users' substations.....	701
S5.3.10	Load shedding facilities	701
Schedule 5.3a	Conditions for connection of Market Network Services	702
S5.3a.1a	Introduction to the schedule.....	702
S5.3a.1	Provision of Information.....	703
S5.3a.2	Application of settings	704
S5.3a.3	Technical matters to be co-ordinated.....	705
S5.3a.4	Monitoring and control requirements	706
S5.3a.4.1	Remote Monitoring.....	706
S5.3a.4.2	[Deleted]	706
S5.3a.4.3	Communications equipment	706
S5.3a.5	Design standards	707
S5.3a.6	Protection systems and settings	707
S5.3a.7	[Deleted]	709
S5.3a.8	Reactive power capability.....	709
S5.3a.9	Balancing of load currents	709
S5.3a.10	Voltage fluctuations.....	710
S5.3a.11	Harmonics and voltage notching	710
S5.3a.12	Design requirements for Market Network Service Providers' substations.....	710
S5.3a.13	Market network service response to disturbances in the power system	711
S5.3a.14	Protection of market network services from power system disturbances	711
Schedule 5.4	Information to be Provided with Preliminary Enquiry	712
Schedule 5.4A	Preliminary Response.....	713
Schedule 5.4B	Detailed Response to Enquiry.....	715
Schedule 5.5	Technical Details to Support Application for Connection and Connection Agreement.....	717

S5.5.1 Introduction to the schedule.....717

S5.5.2 Categories of data717

S5.5.3 Review, change and supply of data718

S5.5.4 Data Requirements.....718

S5.5.5 Asynchronous generating unit data719

S5.5.6 Generating units smaller than 30MW data719

S5.5.7 Power System Design Data Sheet, Power System Setting Data Sheet and Power System Model Guidelines719

Schedule 5.5.1 [Deleted]722

Schedule 5.5.2 [Deleted]722

Schedule 5.5.3 Network and plant technical data of equipment at or near connection point.....722

Schedule 5.5.4 Network Plant and Apparatus Setting Data725

Schedule 5.5.5 Load Characteristics at Connection Point.....727

Schedule 5.6 Terms and Conditions of Connection agreements and network operating agreements728

Part A Connection agreements728

Part B Network Operating Agreements729

Schedule 5.7 Annual Forecast Information for Planning Purposes730

Schedule 5.8 Distribution Annual Planning Report732

Schedule 5.9 Demand side engagement document (clause 5.13.1(h)).....738

Schedule 5.10 Information requirements for Primary Transmission Network Service Providers (clause 5.2A.5)739

Schedule 5.11 Negotiating principles for negotiated transmission services (clause 5.2A.6)743

Schedule 5.12 Negotiating principles for large DCA services.....744

5A. Electricity connection for retail customers749

Part A Preliminary.....749

5A.A.1 Definitions749

5A.A.2 Application of this Chapter.....752

5A.A.3 Small Generation Aggregator deemed to be agent of a retail customer.....752

Part B Standardised offers to provide basic and standard connection services753

Division 1 Basic connection services753

5A.B.1 Obligation to have model standing offer to provide basic connection services.....753

5A.B.2 Proposed model standing offer for basic connection services.....753

5A.B.3 Approval of terms and conditions of model standing offer to provide basic connection services754

Division 2 Standard connection services.....755

5A.B.4 Standard connection services.....755

5A.B.5	Approval of model standing offer to provide standard connection services	756
Division 3	Miscellaneous	756
5A.B.6	Amendment etc of model standing offer	756
5A.B.7	Publication of model standing offers	757
Part C	Negotiated connection	757
5A.C.1	Negotiation of connection.....	757
5A.C.2	Process of negotiation.....	758
5A.C.3	Negotiation framework.....	758
5A.C.4	Fee to cover cost of negotiation.....	760
Part D	Application for connection service	760
Division 1	Information	760
5A.D.1	Publication of information.....	760
5A.D.1A	Register of completed embedded generation projects	761
Division 2	Preliminary enquiry	762
5A.D.2	Preliminary enquiry	762
Division 3	Applications.....	763
5A.D.3	Application process	763
5A.D.4	Site inspection.....	764
Part E	Connection charges.....	764
5A.E.1	Connection charge principles	764
5A.E.2	Itemised statement of connection charges	766
5A.E.3	Connection charge guidelines.....	766
5A.E.4	Payment of connection charges	768
Part F	Formation and integration of connection contracts	768
Division 1	Offer and acceptance – basic and standard connection services.....	768
5A.F.1	Distribution Network Service Provider's response to application	768
5A.F.2	Acceptance of connection offer	769
5A.F.3	Offer and acceptance—application for expedited connection.....	769
Division 2	Offer and acceptance – negotiated connection.....	769
5A.F.4	Negotiated connection offer	769
Division 3	Formation of contract.....	770
5A.F.5	Acceptance of connection offer	770
Division 4	Contractual performance.....	770
5A.F.6	Carrying out connection work	770
5A.F.7	Retailer required for energisation where new connection	771
Part G	Dispute resolution between Distribution Network Service Providers and customers	771
5A.G.1	Relevant disputes	771
5A.G.2	Determination of dispute	771
5A.G.3	Termination of proceedings.....	772
SCHEDULE 5A.1 – Minimum content requirements for connection contract		772
Part A	Connection offer not involving embedded generation	772

Part B **Connection offer involving embedded generation773**

6. **Economic Regulation of Distribution Services777**

Part A **Introduction.....777**

6.1 **Introduction to Chapter 6.....777**

6.1.1 AER's regulatory responsibility777

6.1.1A [Deleted]777

6.1.2 Structure of this Chapter777

6.1.3 Access to direct control services and negotiated distribution
services778

6.1.4 Prohibition of DUOS charges for the export of energy778

Part B **Classification of Distribution Services and Distribution
Determinations.....778**

6.2 **Classification778**

6.2.1 Classification of distribution services.....778

6.2.2 Classification of direct control services as standard control services
or alternative control services779

6.2.3 Term for which classification operates780

6.2.3A Distribution Service Classification Guidelines.....780

6.2.4 Duty of AER to make distribution determinations781

6.2.5 Control mechanisms for direct control services781

6.2.6 Basis of control mechanisms for direct control services782

6.2.7 Negotiated distribution services782

6.2.8 Guidelines782

Part C **Building Block Determinations for standard control services783**

6.3 **Building block determinations.....783**

6.3.1 Introduction.....783

6.3.2 Contents of building block determination783

6.4 **Post-tax revenue model784**

6.4.1 Preparation, publication and amendment of post-tax revenue model.....784

6.4.2 Contents of post-tax revenue model784

6.4.3 Building block approach.....784

6.4.4 Shared assets786

6.4.5 Expenditure Forecast Assessment Guidelines787

6.4A **Capital expenditure incentive mechanisms787**

6.4B **Asset exemptions788**

6.4B.1 Asset exemption decisions and Asset Exemption Guidelines788

6.4B.2 Exemption applications789

6.5 **Matters relevant to the making of building block
determinations.....789**

6.5.1 Regulatory asset base.....789

6.5.2 Return on capital.....790

6.5.3 Estimated cost of corporate income tax791

6.5.4 [Deleted]791

6.5.5 Depreciation.....791

6.5.6 Forecast operating expenditure 792

6.5.7 Forecast capital expenditure 794

6.5.8 Efficiency benefit sharing scheme 798

6.5.8A Capital expenditure sharing scheme 799

6.5.9 The X factor 800

6.5.10 Pass through events 801

6.6 Adjustments after making of building block determination. 801

6.6.1 Cost pass through 801

6.6.1A Reporting on jurisdictional schemes 808

6.6.2 Service target performance incentive scheme 809

6.6.3 Demand management incentive scheme 810

6.6.3A Demand management innovation allowance mechanism 811

6.6.4 Small-scale incentive scheme 812

6.6.5 Reopening of distribution determination for capital expenditure 813

6.6A Contingent Projects 816

6.6A.1 Acceptance of a contingent project in a distribution determination 816

6.6A.2 Amendment of distribution determination for contingent project 818

Part D Negotiated distribution services 823

6.7 Negotiated distribution services 823

6.7.1 Principles relating to access to negotiated distribution services 823

6.7.2 Determination of terms and conditions of access for negotiated distribution services 824

6.7.3 Negotiating framework determination 825

6.7.4 Negotiated Distribution Service Criteria determination 825

6.7.5 Preparation of and requirements for negotiating framework for negotiated distribution services 826

6.7.6 Confidential information 828

Part DA Connection policies 828

6.7A Connection policy requirements 828

6.7A.1 Preparation of, and requirements for, connection policy 828

Part E Regulatory proposal and proposed tariff structure statement 829

6.8 Regulatory proposal and proposed tariff structure statement 829

6.8.1 AER's framework and approach paper 829

6.8.1A Notification of approach to forecasting expenditure 831

6.8.2 Submission of regulatory proposal, tariff structure statement and exemption application 832

6.9 Preliminary examination and consultation 834

6.9.1 Preliminary examination 834

6.9.2 Resubmission of proposal 834

6.9.2A Confidential information 834

6.9.3 Consultation 835

6.10 Draft distribution determination and further consultation 836

6.10.1 Making of draft distribution determination 836

6.10.2 Publication of draft determination and consultation 836

6.10.3 Submission of revised proposal 837

6.10.4 Submissions on specified matters 837

- 6.11 Distribution determination838**
- 6.11.1 Making of distribution determination838
- 6.11.1A Out of scope revised regulatory proposal or late submissions838
- 6.11.2 Notice of distribution determination839
- 6.11.3 Commencement of distribution determination839
- 6.12 Requirements relating to draft and final distribution determinations.....839**
- 6.12.1 Constituent decisions839
- 6.12.2 Reasons for decisions843
- 6.12.3 Extent of AER's discretion in making distribution determinations843
- 6.13 Revocation and substitution of distribution determination for wrong information or error845**
- 6.14 Miscellaneous845**
- 6.14A Distribution Confidentiality Guidelines846**
- Part F Cost Allocation846**
- 6.15 Cost allocation846**
- 6.15.1 Duty to comply with Cost Allocation Method846
- 6.15.2 Cost Allocation Principles846
- 6.15.3 Cost Allocation Guidelines847
- 6.15.4 Cost Allocation Method848
- Part G Distribution consultation procedures849**
- 6.16 Distribution consultation procedures849**
- Part H Ring-Fencing Arrangements for Distribution Network Service Providers.....850**
- 6.17 Distribution Ring-Fencing Guidelines850**
- 6.17.1 Compliance with Distribution Ring-Fencing Guidelines850
- 6.17.2 Development of Distribution Ring-Fencing Guidelines850
- Part I Distribution Pricing Rules851**
- 6.18 Distribution Pricing Rules851**
- 6.18.1 Application of this Part851
- 6.18.1A Tariff structure statement851
- 6.18.1B Amending a tariff structure statement with the AER's approval852
- 6.18.1C Sub-threshold tariffs854
- 6.18.2 Pricing proposals854
- 6.18.3 Tariff classes856
- 6.18.4 Principles governing assignment or re-assignment of retail customers to tariff classes and assessment and review of basis of charging856
- 6.18.5 Pricing principles857
- 6.18.6 Side constraints on tariffs for standard control services859
- 6.18.7 Recovery of designated pricing proposal charges859
- 6.18.7A Recovery of jurisdictional scheme amounts860
- 6.18.8 Approval of pricing proposal863
- 6.18.9 Publication of information about tariffs and tariff classes864
- 6.19. Data Required for Distribution Service Pricing865**

6.19.1 Forecast use of networks by Distribution Customers and Embedded Generators865

6.19.2 Confidentiality of distribution network pricing information865

Part J Billing and Settlements865

6.20 Billing and Settlements Process865

6.20.1 Billing for distribution services865

6.20.2 Minimum information to be provided in distribution network service bills867

6.20.3 Settlement between Distribution Network Service Providers867

6.20.4 Obligation to pay868

Part K Prudential requirements, capital contributions and prepayments868

6.21 Distribution Network Service Provider Prudential Requirements868

6.21.1 Prudential requirements for distribution network service.....868

6.21.2 Capital contributions, prepayments and financial guarantees869

6.21.3 Treatment of past prepayments and capital contributions869

Part L Dispute resolution869

6.22 Dispute Resolution869

6.22.1 Dispute Resolution by the AER.....869

6.22.2 Determination of dispute870

6.22.3 Termination of access dispute without access determination.....871

Part M Separate disclosure of transmission and distribution charges871

6.23 Separate disclosure of transmission and distribution charges871

Part N Dual Function Assets872

6.24 Dual Function Assets872

6.24.1 Application of this Part.....872

6.24.2 Dual Function Assets.....872

6.25 AER determination of applicable pricing regime for Dual Function Assets873

6.26 Division of Distribution Network Service Provider's revenue874

Part O Annual Benchmarking Report875

6.27 Annual Benchmarking Report875

Part P Distribution Reliability Measures Guidelines875

6.28 Distribution Reliability Measures Guidelines875

Schedule 6.1 Contents of building block proposals.....876

S6.1.1 Information and matters relating to capital expenditure876

S6.1.2 Information and matters relating to operating expenditure877

S6.1.3 Additional information and matters877

Schedule 6.2 Regulatory Asset Base880

S6.2.1 Establishment of opening regulatory asset base for a regulatory control period.....880

S6.2.2	Prudence and efficiency of capital expenditure.....	884
S6.2.2A	Reduction for inefficient past capital expenditure.....	885
S6.2.2B	Depreciation.....	887
S6.2.3	Roll forward of regulatory asset base within the same regulatory control period.....	887
6A.	Economic Regulation of Transmission Services.....	891
Part A	Introduction.....	891
6A.1	Introduction to Chapter 6A.....	891
6A.1.1	Economic regulation of transmission services generally.....	891
6A.1.2	[Deleted].....	892
6A.1.3	[Deleted].....	892
6A.1.4	National regulatory arrangements.....	892
6A.1.5	Application of Chapter 6A to Market Network Service Providers.....	892
6A.1.6	Application of Chapter 6A to AEMO and declared transmission system operators.....	892
Part B	Transmission Determinations Generally.....	893
6A.2	Transmission determinations.....	893
6A.2.1	Duty of AER to make transmission determinations.....	893
6A.2.2	Components of transmission determinations.....	893
6A.2.3	Guidelines.....	893
Part C	Regulation of Revenue - Prescribed Transmission Services.....	894
6A.3	Allowed revenue from prescribed transmission services.....	894
6A.3.1	Allowed revenue for regulatory year.....	894
6A.3.2	Adjustment of maximum allowed revenue.....	894
6A.4	Revenue determinations.....	894
6A.4.1	Introduction.....	894
6A.4.2	Contents of revenue determination.....	894
6A.5	Post-tax revenue model.....	895
6A.5.1	Introduction.....	895
6A.5.2	Preparation, publication and amendment of post-tax revenue model.....	896
6A.5.3	Contents of post-tax revenue model.....	896
6A.5.4	Building blocks approach.....	897
6A.5.5	Shared assets.....	898
6A.5.6	Expenditure Forecast Assessment Guidelines.....	899
6A.5A	Capital expenditure incentive mechanisms.....	899
6A.6	Matters relevant to the making of revenue determinations.....	900
6A.6.1	Regulatory asset base.....	900
6A.6.2	Return on capital.....	901
6A.6.3	Depreciation.....	901
6A.6.4	Estimated cost of corporate income tax.....	902
6A.6.5	Efficiency benefit sharing scheme.....	903
6A.6.5A	Capital expenditure sharing scheme.....	904
6A.6.6	Forecast operating expenditure.....	905
6A.6.7	Forecast capital expenditure.....	907

6A.6.8	The X factor.....	911
6A.6.9	Pass through events.....	912
6A.7	Matters relevant to the adjustment of revenue cap after making of revenue determination	912
6A.7.1	Reopening of revenue determination for capital expenditure.....	912
6A.7.2	Network support pass through	915
6A.7.3	Cost pass through.....	916
6A.7.4	Service target performance incentive scheme	922
6A.7.5	Small-scale incentive scheme	923
6A.7.6	Demand management innovation allowance mechanism	924
6A.8	Contingent Projects	925
6A.8.A1	Eligibility for consideration as a contingent project.....	925
6A.8.1	Acceptance of a Contingent Project in a revenue determination.....	926
6A.8.2	Amendment of revenue determination for contingent project.....	927
Part D	[Deleted].....	932
Part E	Procedure - Revenue determinations and pricing methodologies.....	932
6A.10	Revenue Proposal and proposed pricing methodology	932
6A.10.1A	AER's framework and approach paper	932
6A.10.1B	Notification of approach to forecasting expenditure	934
6A.10.1	Submission of proposal, pricing methodology and information	934
6A.10.2	[Deleted]	935
6A.11	Preliminary examination and consultation	935
6A.11.1	Preliminary examination and determination of non-compliance with relevant requirements	935
6A.11.2	Resubmission of proposal, framework, pricing methodology or information	936
6A.11.2A	Confidential information	936
6A.11.3	Consultation.....	937
6A.12	Draft decision and further consultation	937
6A.12.1	Making of draft decision.....	937
6A.12.2	Publication of draft decision and consultation.....	938
6A.12.3	Submission of revised proposal or pricing methodology	938
6A.12.4	Submissions on specified matters	940
6A.13	Final decision.....	940
6A.13.1	Making of final decision.....	940
6A.13.2	Refusal to approve amounts, values, framework or pricing methodology	940
6A.13.2A	Out of scope revised documents or late submissions	941
6A.13.3	Notice of final decision.....	942
6A.13.4	Making of transmission determination	942
6A.14	Requirements relating to draft and final decisions	942
6A.14.1	Contents of decisions.....	942
6A.14.2	Reasons for decisions	944
6A.14.3	Circumstances in which matters must be approved or accepted	944

- 6A.15** **Revocation of revenue determination or amendment of pricing methodology for wrong information or error947**
- 6A.16** **Miscellaneous947**
- 6A.16A** **Transmission Confidentiality Guidelines948**
- Part F** **Information Disclosure.....948**
- 6A.17** **Information disclosure by Transmission Network Service Providers.....948**
- 6A.17.1 Information to be provided to AER 948
- 6A.17.2 Information Guidelines 949
- 6A.18** **[Deleted].....951**
- Part G** **Cost Allocation951**
- 6A.19** **Cost allocation951**
- 6A.19.1 Duty to comply with Cost Allocation Methodology 951
- 6A.19.2 Cost Allocation Principles 951
- 6A.19.3 Cost Allocation Guidelines..... 952
- 6A.19.4 Cost Allocation Methodology..... 952
- Part H** **Transmission Consultation Procedures953**
- 6A.20** **Transmission consultation procedures953**
- Part I** **Ring-Fencing Arrangements for Transmission Network Service Providers.....955**
- 6A.21** **Transmission Ring-Fencing Guidelines955**
- 6A.21.1 Compliance with Transmission Ring-Fencing Guidelines 955
- 6A.21.2 Development of Transmission Ring-Fencing Guidelines..... 955
- Part J** **Prescribed Transmission Services - Regulation of Pricing956**
- 6A.22** **Terms used in Part J.....956**
- 6A.22.1 Aggregate annual revenue requirement (AARR) 956
- 6A.22.2 Annual service revenue requirement (ASRR) 957
- 6A.22.3 Meaning of attributable cost share..... 957
- 6A.22.4 Meaning of attributable connection point cost share 957
- 6A.23** **Pricing Principles for Prescribed Transmission Services957**
- 6A.23.1 Introduction..... 957
- 6A.23.2 Principles for the allocation of the aggregate annual revenue requirement..... 958
- 6A.23.3 Principles for the allocation of the annual service revenue requirement to connection points..... 958
- 6A.23.4 Principles for the recovery of the Annual Service Revenue Requirement as prices 961
- 6A.24** **Pricing methodology962**
- 6A.24.1 Pricing methodologies generally 962
- 6A.24.2 Publication of pricing methodology and transmission network prices..... 964
- 6A.24.3 Basis for setting prices pending approval of pricing methodology 964
- 6A.24.4 Basis for setting prices pending approval of maximum allowed revenue..... 965

6A.25	Pricing methodology guidelines for prescribed transmission services	966
6A.25.1	Making and amending of pricing methodology guidelines	966
6A.25.2	Contents of pricing methodology guidelines	966
6A.26	Prudent discounts	967
6A.26.1	Agreements for prudent discounts for prescribed transmission services	967
6A.26.2	Application to AER for approval of proposed prudent discount amounts	968
6A.27	Billing Process	970
6A.27.1	Billing for prescribed transmission services	970
6A.27.1A	Billing of modified load export charges	970
6A.27.2	Minimum information to be provided in network service bills	970
6A.27.3	Obligation to pay charges for prescribed transmission services	971
6A.27.4	Payments between Transmission Network Service Providers in the same region	971
6A.27.5	Calculation of financial transfers between Transmission Network Service Providers in the same region	971
6A.28	Prudential Requirements	972
6A.28.1	Prudential Requirements for prescribed transmission services	972
6A.28.2	Capital contribution or prepayment for a specific asset	972
6A.28.3	Treatment of past capital contributions	972
6A.29	Multiple Transmission Network Service Providers	972
6A.29.1	Multiple Transmission Network Service Providers within a region	972
6A.29.2	Single Transmission Network Service Provider within a region	973
6A.29.3	Allocation over several regions	973
6A.29A	Modified load export charges	974
6A.29A.1	Single Transmission Network Service Provider in a Region	974
6A.29A.2	Calculation of the modified load export charges	974
6A.29A.3	Calculation of adjustments to the modified load export charge	974
6A.29A.4	Billing the modified load export charge	975
6A.29A.5	Allocation and billing process where multiple Transmission Network Service Providers in a Region	975
Part K	Commercial arbitration for disputes about terms and conditions of access for prescribed and negotiated transmission services	976
[Deleted]	976	
Part L	Annual Benchmarking Report	976
6A.31	Annual Benchmarking Report	976
Schedule 6A.1	Contents of Revenue Proposals	976
S6A.1.1	Information and matters relating to capital expenditure	976
S6A.1.2	Information and matters relating to operating expenditure	977
S6A.1.3	Additional information and matters	978
Schedule 6A.2	Regulatory Asset Base	980

S6A.2.1	Establishment of opening regulatory asset base for a regulatory control period.....	980
S6A.2.2	Prudence and efficiency of capital expenditure.....	985
S6A.2.2A	Reduction for inefficient past capital expenditure.....	985
S6A.2.2B	Depreciation.....	987
S6A.2.3	Removal of assets from regulatory asset base.....	988
S6A.2.4	Roll forward of regulatory asset base within the same regulatory control period.....	989
Schedule 6A.3	CRNP methodology and modified CRNP methodology.....	990
S6A.3.1	Meaning of optimised replacement cost.....	990
S6A.3.2	CRNP methodology.....	990
S6A.3.3	Modified CRNP methodology.....	991
Schedule 6A.4	Application of this Chapter to AEMO and declared transmission system operators.....	991
S6A.4.1	Application of this Chapter to AEMO etc.....	991
S6A.4.2	Exclusions, qualifications and modifications.....	992
6B.	Retail markets.....	1001
Part A	Retail support.....	1001
Division 1	Application and definitions.....	1001
6B.A1.1	Application of this Part.....	1001
6B.A1.2	Definitions.....	1001
Division 2	Billing and payment rules.....	1001
6B.A2.1	Obligation to pay.....	1001
6B.A2.2	Direct customer billing and energy-only contracts.....	1002
6B.A2.3	Calculating network charges.....	1002
6B.A2.4	Statement of charges.....	1002
6B.A2.5	Time and manner of payment.....	1003
Division 3	Matters incidental to billing and payment.....	1003
6B.A3.1	Adjustment of network charges.....	1003
6B.A3.2	Tariff reassignment.....	1003
6B.A3.3	Disputed statements of charges.....	1004
6B.A3.4	Interest.....	1005
6B.A3.5	Notification of changes to charges.....	1005
Part B	Credit support required for late payment.....	1006
Division 1	Application and definitions.....	1006
6B.B1.1	Application of Part B.....	1006
6B.B1.2	Definitions.....	1006
Division 2	Requirements for credit support.....	1006
6B.B2.1	Distribution Network Service Provider may require credit support in limited circumstances.....	1006
Division 3	Provision of credit support by retailers.....	1007
6B.B3.1	Retailer to provide credit support.....	1007
6B.B3.2	Acceptable form of credit support.....	1007
Division 4	Other Rules relating to credit support.....	1008

6B.B4.1	Application of credit support	1008
6B.B4.2	Return of credit support	1008
6B.B4.3	Other retailer obligations	1008
Schedule 6B.1	Prescribed form of unconditional undertaking for credit support.....	1008
7.	Metering	1013
Part A	Introduction.....	1013
7.1	Introduction to the Metering Chapter	1013
7.1.1	Contents	1013
Part B	Roles and Responsibilities	1013
7.2	Role and Responsibility of financially responsible Market Participant	1013
7.2.1	Obligations of financially responsible Market Participants to establish metering installations.....	1013
7.2.2	[Not used]	1014
7.2.3	Agreements with Local Network Service Provider	1014
7.2.4	[Not used]	1014
7.2.5	Agreements with Metering Provider	1014
7.3	Role and Responsibility of Metering Coordinator	1014
7.3.1	Responsibility of the Metering Coordinator	1014
7.3.2	Role of the Metering Coordinator.....	1015
7.4	Qualification and Registration of Metering Providers, Metering Data Providers and Embedded Network Managers.....	1018
7.4.1	Qualifications and registration of Metering Providers	1018
7.4.2	Qualifications and registration of Metering Data Providers	1019
7.4.2A	Qualifications and registration of Embedded Network Managers.....	1019
7.4.2B	List of Embedded Network Managers	1020
7.4.3	Nature of appointment of Metering Provider or Metering Data Provider.....	1020
7.4.4	Deregistration of Metering Providers, Metering Data Providers and Embedded Network Managers	1020
7.5	Role and Responsibility of AEMO	1022
7.5.1	Responsibility of AEMO for the collection, processing and delivery of metering data	1022
7.5.2	AEMO's costs in connection with metering installation	1023
7.5A	Role and Responsibility of Embedded Network Managers	1023
7.5A.1	Responsibility of Embedded Network Managers for management services	1023
7.5A.2	EN information	1023
Part C	Appointment of Metering Coordinator	1024
7.6	Appointment of Metering Coordinator	1024
7.6.1	Commercial nature of the Metering Coordinator appointment and service provision.....	1024

- 7.6.2 Persons who may appoint Metering Coordinators.....1024
- 7.6.3 Appointment with respect to transmission network connection.....1025
- 7.6.4 Type 7 metering installations.....1025
- 7.7 Metering Coordinator default arrangements1026**
- 7.7.1 Obligations of financially responsible Market Participants on
Metering Coordinator default event and end of contract term.....1026
- 7.7.2 Notices to be provided by Metering Coordinator1027
- 7.7.3 AEMO may issue breach notice1027
- Part D Metering installation1028**
- 7.8 Metering installation arrangements.....1028**
- 7.8.1 Metering installation requirements1028
- 7.8.2 Metering installation components.....1029
- 7.8.3 Small customer metering installations1033
- 7.8.4 Type 4A metering installation1033
- 7.8.5 Emergency management.....1036
- 7.8.6 Network devices1036
- 7.8.7 Metering point1039
- 7.8.8 Metering installation types and accuracy.....1039
- 7.8.9 Meter churn.....1040
- 7.8.10 Metering installation malfunctions1040
- 7.8.10A Timeframes for meters to be installed – new connection1042
- 7.8.10B Timeframes for meters to be installed – where a connection service
is not required1043
- 7.8.10C Timeframes for meters to be installed – where a connection
alteration is required1044
- 7.8.11 Changes to metering equipment, parameters and settings within a
metering installation1046
- 7.8.12 Special site or technology related conditions1046
- 7.8.13 Joint metering installations1047
- 7.9 Inspection, Testing and Audit of Metering installations1048**
- 7.9.1 Responsibility for testing1048
- 7.9.2 Actions in event of non-compliance1050
- 7.9.3 Audits of information held in metering installations1050
- 7.9.4 Errors found in metering tests, inspections or audits.....1051
- 7.9.5 Retention of test records and documents1052
- 7.9.6 Metering installation registration process.....1053
- Part E Metering Data1053**
- 7.10 Metering Data Services1053**
- 7.10.1 Metering Data Services.....1053
- 7.10.2 Data management and storage1054
- 7.10.3 Provision of metering data to certain persons.....1055
- 7.10.4 Use of check metering data.....1055
- 7.10.5 Periodic energy metering1056
- 7.10.6 Time settings.....1056
- 7.10.7 Metering data performance standards.....1057
- 7.11 Metering data and database1058**
- 7.11.1 Metering database1058

- 7.11.2 Data validation, substitution and estimation.....1059
- 7.11.3 Changes to energy data or to metering data.....1060
- 7.12 Register of Metering Information1060**
- 7.12.1 Metering register.....1060
- 7.12.2 Metering register discrepancy.....1060
- 7.13 Disclosure of NMI information.....1061**
- 7.13.1 Application of this Rule.....1061
- 7.13.2 NMI and NMI checksum1061
- 7.13.3 NMI Standing Data.....1061
- 7.14 Metering data provision to retail customers1061**
- Part F Security of metering installation and energy data.....1062**
- 7.15 Security of metering installation and energy data.....1062**
- 7.15.1 Confidentiality1062
- 7.15.2 Security of metering installations1063
- 7.15.3 Security controls for energy data1063
- 7.15.4 Additional security controls for small customer metering
installations1065
- 7.15.5 Access to data1066
- Part G Procedures1067**
- 7.16 Procedures1067**
- 7.16.1 Obligation to establish, maintain and publish procedures1067
- 7.16.2 Market Settlement and Transfer Solution Procedures1068
- 7.16.3 Requirements of the metrology procedure1068
- 7.16.4 Jurisdictional metrology material in metrology procedure.....1070
- 7.16.5 Additional metrology procedure matters1071
- 7.16.6 Requirements of the service level procedures1072
- 7.16.6A Requirements of the ENM service level procedures1073
- 7.16.6B Guide to embedded networks1074
- 7.16.7 Amendment of procedures in the Metering Chapter1074
- 7.16.8 National Measurement Act1075
- Part H B2B Requirements1076**
- 7.17 B2B Arrangements1076**
- 7.17.1 B2B e-Hub1076
- 7.17.2 B2B e-Hub Participants1076
- 7.17.3 Content of the B2B Procedures1077
- 7.17.4 Changing B2B Procedures.....1078
- 7.17.5 B2B Decision.....1080
- 7.17.6 Establishment of Information Exchange Committee.....1081
- 7.17.7 Functions and powers of Information Exchange Committee1082
- 7.17.8 Obligations of Members1083
- 7.17.9 Meetings of Information Exchange Committee1084
- 7.17.10 Nomination, election and appointment of Members1084
- 7.17.11 Qualifications of Members1085
- 7.17.12 Information Exchange Committee Election Procedures and
Information Exchange Committee Operating Manual.....1086
- 7.17.13 Cost Recovery.....1087

Schedule 7.1	Metering register	1087
S7.1.1	General.....	1087
S7.1.2	Metering register information.....	1087
Schedule 7.2	Metering Provider	1088
S7.2.1	General.....	1088
S7.2.2	Categories of registration.....	1089
S7.2.3	Capabilities of Metering Providers for metering installations types 1, 2, 3, 4 and 4A.....	1090
S7.2.4	Capabilities of Metering Providers for metering installations types 5 and 6.....	1092
S7.2.5	Capabilities of Metering Providers for small customer metering installations.....	1093
S7.2.6	Capabilities of the Accredited Service Provider category.....	1093
Schedule 7.3	Metering Data Provider	1093
S7.3.1	General.....	1093
S7.3.2	Categories of registration.....	1093
S7.3.3	Capabilities of Metering Data Providers	1094
S7.3.4	Capabilities of Metering Data Providers for small customer metering installations.....	1096
Schedule 7.4	Types and Accuracy of Metering installations.....	1096
S7.4.1	General requirements.....	1096
S7.4.2	Metering installations commissioned prior to 13 December 1998.....	1096
S7.4.3	Accuracy requirements for metering installations.....	1097
S7.4.4	Check metering.....	1102
S7.4.5	Resolution and accuracy of displayed or captured data.....	1103
S7.4.6	General design standards	1103
S7.4.6.1	Design requirements	1103
S7.4.6.2	Design guidelines.....	1104
Schedule 7.5	Requirements of minimum services specification.....	1105
S7.5.1	Minimum services specification	1105
Schedule 7.6	Inspection and Testing Requirements	1108
S7.6.1	General.....	1108
S7.6.2	Technical Guidelines	1110
Schedule 7.7	Embedded Network Managers	1111
S7.7.1	General.....	1111
S7.7.2	Capabilities of Embedded Network Managers	1111
8.	Administrative Functions	1115
Part A	Introductory	1115
8.1	Administrative functions.....	1115
8.1.1	[Deleted]	1115
8.1.2	[Deleted]	1115
8.1.3	Structure of this Chapter.....	1115
Part B	Disputes.....	1115
8.2	Dispute Resolution	1115

8.2.1	Application and guiding principles.....	1115
8.2.2	The Dispute Resolution Adviser.....	1118
8.2.3	Dispute management systems of Registered Participants and AEMO.....	1119
8.2.4	Stage 1 - dispute resolution through Registered Participants' DMS.....	1120
8.2.5	Stage 2 - dispute resolution process.....	1122
8.2.6A	Establishment of Dispute Resolution Panel.....	1122
8.2.6B	Parties to DRP Proceedings.....	1123
8.2.6C	Proceedings of the DRP.....	1124
8.2.6D	Decisions of the DRP.....	1125
8.2.6	[Deleted].....	1125
8.2.7	Legal representation.....	1125
8.2.8	Cost of dispute resolution.....	1126
8.2.9	Effect of resolution.....	1126
8.2.10	Recording and publication.....	1127
8.2.11	Appeals on questions of law.....	1127
8.2.12	[Deleted].....	1127
8.2A	B2B Determination Disputes.....	1127
8.2A.1	Application of rule 8.2.....	1127
8.2A.2	How rule 8.2 applies.....	1127
8.3	Power to make Electricity Procedures.....	1130
8.4	[Deleted].....	1130
8.5	[Deleted].....	1130
Part C	Registered Participants' confidentiality obligations.....	1130
8.6	Confidentiality.....	1130
8.6.1	Confidentiality.....	1130
8.6.1A	Application.....	1131
8.6.2	Exceptions.....	1131
8.6.3	Conditions.....	1133
8.6.4	[Deleted].....	1133
8.6.5	Indemnity to AER, AEMC and AEMO.....	1133
8.6.6	AEMO information.....	1133
8.6.7	Information on Rules Bodies.....	1133
Part D	Monitoring and reporting.....	1134
8.7	Monitoring and Reporting.....	1134
8.7.1	Monitoring.....	1134
8.7.2	Reporting requirements and monitoring standards for Registered Participants and AEMO.....	1134
8.7.3	Consultation required for making general regulatory information order (Section 28H of the NEL).....	1136
8.7.4	Preparation of network service provider performance report (Section 28V of the NEL).....	1137
8.7.5	[Deleted].....	1138
8.7.6	Recovery of reporting costs.....	1138
Part E	Reliability panel.....	1138
8.8	Reliability Panel.....	1138

8.8.1	Purpose of Reliability Panel	1138
8.8.2	Constitution of the Reliability Panel.....	1140
8.8.3	Reliability Panel review process.....	1142
8.8.4	Determination of protected events	1144
Part F	Rules consultation procedures.....	1146
8.9	Rules Consultation Procedures	1146
Part G	Consumer advocacy funding	1148
8.10	Consumer advocacy funding obligation	1148
Part H	Augmentations	1148
8.11	Augmentations	1148
8.11.1	Application	1148
8.11.2	Object.....	1148
8.11.3	Definitions	1149
8.11.4	Planning criteria.....	1149
8.11.5	Construction of augmentation that is not a contestable augmentation.....	1150
8.11.6	Contestable augmentations	1150
8.11.7	Construction and operation of contestable augmentation.....	1151
8.11.8	Funded augmentations that are not subject to the tender process.....	1153
8.11.9	Contractual requirements and principles	1153
8.11.10	Annual planning review.....	1154
Schedule 8.11	Principles to be reflected in agreements relating to contestable augmentations	1154
S8.11.1	Risk allocation	1154
S8.11.2	Minimum requirements for agreements relating to contestable augmentation.....	1155
S8.11.3	Matters to be dealt with in relevant agreements	1156
Part I	Values of customer reliability	1156
8.12	Development of methodology and publication of values of customer reliability	1156
8A.	Participant Derogations.....	1161
Part 1	Derogations Granted to TransGrid	1161
8A.1	[Deleted].....	1161
Part 2	Derogations Granted to EnergyAustralia	1161
8A.2	[Deleted].....	1161
8A.2A	[Deleted].....	1161
Part 3	[Deleted].....	1161
Part 4	[Deleted].....	1161
Part 5	[Deleted].....	1161
Part 6	Derogations Granted to Victorian Market Participants.....	1161
Part 7	[Deleted].....	1162

Part 8	[Deleted]	1162
Part 9	[Deleted]	1162
Part 10	[Deleted]	1162
Part 11	[Deleted]	1162
Part 12	[Deleted]	1162
Part 13	Derogation granted to Aurora Energy (Tamar Valley) Pty Ltd	1162
8A.13	[Deleted]	1162
Part 14	Derogations granted to Ausgrid, Endeavour Energy and Essential Energy	1162
8A.14	Derogations from Chapter 6 for the current regulatory control period and subsequent regulatory control period	1162
8A.14.1	Definitions	1162
8A.14.2	Expiry date.....	1166
8A.14.3	Application of Rule 8A.14.....	1166
8A.14.4	Recovery of revenue across the current regulatory control period and subsequent regulatory control period.....	1167
8A.14.5	Recovery of revenue in subsequent regulatory control period only and no reopening of subsequent distribution determination required.....	1168
8A.14.6	Recovery of revenue in subsequent regulatory control period only and reopening of distribution determination is required.....	1169
8A.14.7	Requirements for adjustment determination.....	1170
8A.14.8	Application of Chapter 6 under participant derogation	1171
Part 15	Derogations granted to ActewAGL	1172
8A.15	Derogations from Chapter 6 for the current regulatory control period and subsequent regulatory control period	1172
8A.15.1	Definitions	1172
8A.15.2	Expiry date.....	1176
8A.15.3	Application of Rule 8A.15.....	1176
8A.15.4	Recovery of revenue across the current regulatory control period and subsequent regulatory control period.....	1176
8A.15.5	Recovery of revenue in subsequent regulatory control period only and no reopening of subsequent distribution determination required.....	1178
8A.15.6	Recovery of revenue in subsequent regulatory control period only and reopening of distribution determination is required.....	1179
8A.15.7	Requirements for adjustment determination.....	1181
8A.15.8	Application of Chapter 6 under participant derogation	1181
9.	Jurisdictional Derogations and Transitional Arrangements	1187
9.1	Purpose and Application	1187
9.1.1	Purpose	1187
9.1.2	Jurisdictional Derogations	1187
Part A	Jurisdictional Derogations for Victoria	1187
9.2	[Deleted]	1187

9.3	Definitions.....	1187
9.3.1	General Definitions.....	1187
9.3.2	[Deleted]	1189
9.3A	Fault levels.....	1189
9.4	Transitional Arrangements for Chapter 2 - Registered Participants, Registration and Cross Border Networks	1190
9.4.1	[Deleted]	1190
9.4.2	Smelter Trader	1190
9.4.3	Smelter Trader: compliance.....	1190
9.4.4	Report from AER.....	1193
9.4.5	Cross Border Networks.....	1193
9.5	Transitional Arrangements for Chapter 3 – Reliability and Emergency Reserve Trader	1194
9.5.1	Definition.....	1194
9.5.2	Expiry date.....	1194
9.5.3	Multi-year Victorian contracts – term and volume.....	1194
9.5.4	Multi-year Victorian contracts - reporting.....	1194
9.6	Transitional Arrangements for Chapter 4 - System Security	1195
9.6.1	Operating Procedures (clause 4.10.1)	1195
9.6.2	Nomenclature Standards (clause 4.12)	1195
9.7	Transitional Arrangements for Chapter 5 - Network Connection.....	1196
9.7.1	[Deleted]	1196
9.7.2	[Deleted]	1196
9.7.3	[Deleted]	1196
9.7.4	Regulation of Distribution Network Connection.....	1196
9.7.5	[Deleted]	1197
9.7.6	[Deleted]	1197
9.7.7	[Deleted]	1197
9.8	Transitional Arrangements for Chapter 6 - Network Pricing.....	1197
9.8.1	[Deleted]	1197
9.8.2	[Deleted]	1197
9.8.3	[Deleted]	1197
9.8.4	Transmission Network Pricing	1197
9.8.4A	[Deleted]	1198
9.8.4B	[Deleted]	1198
9.8.4C	[Deleted]	1198
9.8.4D	[Deleted]	1198
9.8.4E	[Deleted]	1198
9.8.4F	[Deleted]	1198
9.8.4G	[Deleted]	1198
9.8.5	[Deleted]	1198
9.8.6	[Deleted]	1198
9.8.7	Distribution network pricing – transitional application of former Chapter 6.....	1198
9.8.8	Exclusion of AER's power to aggregate distribution systems and parts of distribution systems	1199

9.9	Transitional Arrangements for Chapter 7 - Metering	1200
9.9.1	Metering Installations To Which This Schedule Applies.....	1200
9.9.2	[Deleted].....	1200
9.9.3	[Deleted].....	1200
9.9.4	[Deleted].....	1200
9.9.5	[Deleted].....	1200
9.9.6	[Deleted].....	1200
9.9.7	[Deleted].....	1200
9.9.8	[Deleted].....	1200
9.9.9	Periodic Energy Metering (clause 7.9.3).....	1200
9.9.10	Use of Alternate Technologies (clause 7.13).....	1200
9.9A	[Deleted]	1201
9.9B	[Deleted]	1201
9.9C	[Deleted]	1201
1.	Interpretation of tables.....	1201
2.	Continuing effect.....	1201
3.	Subsequent agreement.....	1202
4.	[Deleted].....	1202
5.	Reactive Power Capability (clause S5.2.5.1 of schedule 5.2).....	1202
6.	[Deleted].....	1203
7.	[Deleted].....	1203
8.	[Deleted].....	1203
9.	[Deleted].....	1203
10.	[Deleted].....	1203
11.	[Deleted].....	1203
12.	[Deleted].....	1203
13.	Governor Systems (load control) (clause S5.2.5.11 of schedule 5.2).....	1203
14.	[Deleted].....	1203
15.	[Deleted].....	1203
16.	Excitation Control System (clause S5.2.5.13 of schedule 5.2).....	1203
Part B	Jurisdictional Derogations for New South Wales	1204
9.10	[Deleted]	1204
9.11	Definitions	1204
9.11.1	Definitions used in this Part B.....	1204
9.12	Transitional Arrangements for Chapter 2 - Generators, Registered Participants, Registration and Cross Border Networks	1205
9.12.1	[Deleted].....	1205
9.12.2	Customers.....	1205
9.12.3	Power Traders.....	1205
9.12.4	Cross Border Networks.....	1208
9.13	[Deleted]	1209
9.14	Transitional Arrangements for Chapter 4 - System Security	1209
9.14.1	Power System Operating Procedures.....	1209
9.15	NSW contestable services for Chapter 5A	1209
9.15.1	Definitions.....	1209

9.15.2	Chapter 5A not to apply to certain contestable services.....	1209
9.16	Transitional Arrangements for Chapter 6 - Network Pricing.....	1209
9.16.1	NSW contestable services.....	1209
9.16.2	[Deleted]	1209
9.16.3	Jurisdictional Regulator	1209
9.16.4	Deemed Regulated Interconnector.....	1210
9.16.5	[Deleted]	1210
9.17	Transitional Arrangements for Chapter 7 - Metering	1210
9.17.1	Extent of Derogations	1210
9.17.2	Initial Registration (clause 7.1.2).....	1210
9.17.3	Amendments to Schedule 9G1	1210
9.17.4	Compliance with AS/NZ ISO 9002 (clause S7.4.3(f) of schedule 7.4)	1211
9.17A	[Deleted].....	1211
9.18	[Deleted].....	1211
Part C	Jurisdictional Derogations for the Australian Capital Territory.....	1211
9.19	[Deleted].....	1211
9.20	Definitions and Transitional Arrangements for Cross-Border Networks.....	1211
9.20.1	Definitions	1211
9.20.2	Cross Border Networks.....	1211
9.21	[Deleted].....	1212
9.22	[Deleted].....	1212
9.23	Transitional Arrangements for Chapter 6 - Network Pricing.....	1212
9.23.1	[Deleted]	1212
9.23.2	[Deleted]	1212
9.23.3	[Deleted].....	1212
9.23.4	[Deleted]	1212
9.24	Transitional Arrangements.....	1212
9.24.1	Chapter 7 - Metering.....	1212
9.24.2	[Deleted]	1212
9.24A	[Deleted].....	1212
Part D	Jurisdictional Derogations for South Australia	1212
9.25	Definitions.....	1212
9.25.1	[Deleted]	1212
9.25.2	Definitions	1212
9.26	Transitional Arrangements for Chapter 2 - Registered Participants, Registration And Cross Border Networks.....	1215
9.26.1	Registration as a Generator.....	1215
9.26.2	Registration as a Customer	1216
9.26.3	Cross Border Networks.....	1216
9.26.4	[Deleted]	1216
9.26.5	Registration as a Network Service Provider	1216

9.27	[Deleted]	1217
9.28	Transitional Arrangements for Chapter 5 - Network Connection	1217
9.28.1	Application of clause 5.2	1217
9.28.2	[Deleted]	1218
9.29	Transitional Arrangements for Chapter 6 - Economic Regulation of Distribution Services	1218
9.29.1	[Deleted]	1218
9.29.2	[Deleted]	1218
9.29.3	[Deleted]	1218
9.29.4	[Deleted]	1218
9.29.5	Distribution Network Pricing – South Australia	1218
9.29.6	Capital contributions, prepayments and financial guarantees	1219
9.29.7	Ring fencing.....	1219
9.29A	Monitoring and reporting	1219
9.30	Transitional Provisions	1220
9.30.1	Chapter 7 - Metering.....	1220
Part E	Jurisdictional Derogations for Queensland	1220
9.31	[Deleted]	1220
9.32	Definitions and Interpretation	1220
9.32.1	Definitions	1220
9.32.2	Interpretation.....	1223
9.33	Transitional Arrangements for Chapter 1	1223
9.33.1	[Deleted]	1223
9.34	Transitional Arrangements for Chapter 2 - Registered Participants and Registration	1223
9.34.1	Application of the Rules in Queensland (clauses 2.2 and 2.5)	1223
9.34.2	Stanwell Cross Border Leases (clause 2.2).....	1223
9.34.3	[Deleted]	1223
9.34.4	Registration as a Customer (clause 2.3.1)	1223
9.34.5	There is no clause 9.34.5	1224
9.34.6	Exempted generation agreements (clause 2.2)	1224
9.35	[Deleted]	1228
9.36	[Deleted]	1228
9.37	Transitional Arrangements for Chapter 5 - Network Connection	1228
9.37.1	[Deleted]	1228
9.37.2	Existing connection and access agreements (clause 5.2).....	1228
9.37.3	[Deleted]	1229
9.37.4	[Deleted]	1229
9.37.5	Forecasts for connection points to transmission network (clause 5.11.1).....	1229
9.37.6	There is no clause 9.37.6	1229
9.37.7	Cross Border Networks.....	1229
9.37.8	[Deleted]	1230

9.37.9	Credible contingency events (clause S5.1.2.1 of schedule 5.1).....	1230
9.37.10	Reactive power capability (clause S5.2.5.1 of schedule 5.2)	1230
9.37.11	[Deleted]	1231
9.37.12	Voltage fluctuations (clause S5.1.5 of schedule 5.1).....	1231
9.37.13	[Deleted]	1233
9.37.14	[Deleted]	1233
9.37.15	[Deleted]	1233
9.37.16	[Deleted]	1233
9.37.17	[Deleted]	1233
9.37.18	[Deleted]	1233
9.37.19	Generating unit response to disturbances (clause S5.2.5.3 of schedule 5.2).....	1233
9.37.20	[Deleted]	1233
9.37.21	Excitation control system (clause S.5.2.5.13 of schedule 5.2)	1233
9.37.22	[Deleted]	1234
9.37.23	Annual forecast information for planning purposes (schedule 5.7).....	1234
9.38	Transitional Arrangements for Chapter 6 - Network Pricing.....	1234
9.38.1	[Deleted]	1234
9.38.2	[Deleted]	1234
9.38.3	[Deleted]	1234
9.38.4	Interconnectors between regions	1234
9.38.5	Transmission pricing for exempted generation agreements	1234
9.39	Transitional Arrangements for Chapter 7 - Metering	1235
9.39.1	Metering installations to which this clause applies	1235
9.39.2	[Deleted]	1236
9.39.3	[Deleted]	1236
9.39.4	[Deleted]	1236
9.39.5	[Deleted]	1236
9.40	Transitional Arrangements for Chapter 8 - Administration Functions	1236
9.40.1	[Deleted]	1236
9.40.2	[Deleted]	1236
9.40.3	[Deleted]	1236
9.41	[Deleted].....	1236
Part F	Jurisdictional Derogations for Tasmania	1237
9.42	Definitions and interpretation	1237
9.42.1	Definitions	1237
9.42.2	Interpretation.....	1238
9.42.3	National grid, power system and related expressions.....	1238
9.43	[Deleted].....	1239
9.44	Transitional arrangements for Chapter 2 – Registered Participants and Registration - Customers (clause 2.3.1(e)).....	1239
9.45	Tasmanian Region (clause 3.5)	1239
9.47	Transitional arrangements for Chapter 5- Network Connection	1239
9.47.1	Existing Connection Agreements	1239

9.48	Transitional arrangements - Transmission and Distribution	
	Pricing	1239
9.48.4A	Ring fencing.....	1239
9.48.4B	Uniformity of tariffs for small customers.....	1240
9.48.5	Transmission network.....	1240
9.48.6	Deemed regulated interconnector.....	1240
Part G	Schedules to Chapter 9	1240
1.	Introduction.....	1240
2.	[Deleted].....	1240
3.	General Principle.....	1240
4.	[Deleted].....	1241
5.	Accuracy Requirements.....	1241
6.	[Deleted].....	1241
7.	[Deleted].....	1241
8.	[Deleted].....	1241
9.	[Deleted].....	1241
10.	[Deleted].....	1241
10.	Glossary	1245
11.	Savings and Transitional Rules	1363
Part A	Definitions	1363
Part B	System Restart Ancillary Services (2006 amendments)	1363
11.2	Rules consequent on making of the National Electricity Amendment (System Restart Ancillary Services and pricing under market suspension) Rule 2006	1363
11.2.1	Transitional provision for acquisition of non-market ancillary services.....	1363
11.3	[Deleted]	1364
Part C	Dispute Resolution for Regulatory Test (2006 amendments)	1364
11.4	Rules consequent on making of the National Electricity Amendment (Dispute Resolution for Regulatory Test) Rule 2006	1364
11.4.1	Continuation of things done under old clause 5.6.6.....	1364
Part D	Metrology (2006 amendments)	1365
11.5	Rules consequential on the making of the National Electricity Amendment (Metrology) Rule 2006	1365
11.5.1	Definitions.....	1365
11.5.2	Metrology procedures continues to apply until 31 December 2006.....	1365
11.5.3	Responsible person.....	1365
11.5.4	NEMMCO's responsibility to develop a metrology procedure.....	1365
11.5.5	Jurisdictional metrology material in the metrology procedure.....	1366
Part E	Economic Regulation of Transmission Services (2006 amendments)	1366

11.6	Rules consequent on making of the National Electricity Amendment (Economic Regulation of Transmission Services)	
	Rule 2006	1366
11.6.1	Definitions	1366
11.6.2	New Chapter 6A does not affect existing revenue determinations.....	1367
11.6.3	Old Part C and Schedules 6.2, 6.3, 6.4, 6.7 and 6.8 of old Chapter 6	1368
11.6.4	Old Part F of Chapter 6.....	1368
11.6.5	Application of new Chapter 6A to Transmission Network Service Providers	1368
11.6.6	Application of Chapter 6 to old distribution matters	1368
11.6.7	References to the old Chapter 6	1368
11.6.8	References to provisions of the old Chapter 6.....	1369
11.6.9	Roll forward of regulatory asset base for first regulatory control period.....	1369
11.6.10	Other adjustment carry-over mechanisms from current to first regulatory control period	1369
11.6.11	Clause consequent upon making National Electricity Amendment (Cost Allocation Arrangements for Transmission Services) Rule No 2009 No 3 - Transition to new Chapter 6A: existing prescribed connection services	1369
11.6.12	Powerlink transitional provisions	1372
11.6.13	ElectraNet easements transitional provisions	1375
11.6.14	TransGrid contingent projects	1375
11.6.15	Transmission determination includes existing revenue determinations.....	1376
11.6.16	References to regulatory control period.....	1376
11.6.17	Consultation procedure for first proposed guidelines.....	1376
11.6.18	Reliance on proposed guidelines for SP AusNet, VENCORP and ElectraNet	1377
11.6.19	EnergyAustralia transitional provisions	1377
11.6.20	Basslink transitional provisions	1379
11.6.21	SPI Powernet savings and transitional provision.....	1381
11.6.22	Interim arrangements pricing-related information.....	1382
Part F	Reform of Regulatory Test Principles (2006 amendments)	1383
11.7	Rules consequent on making of the National Electricity Amendment (Reform of the Regulatory Test Principles) Rule 2006	1383
11.7.1	Definitions	1383
11.7.2	Amending Rule does not affect old clause 5.6.5A	1383
Part G	Pricing of Prescribed Transmission Services (2006 amendments)	1383
11.8	Rules consequent on making the National Electricity Amendment (Pricing of Prescribed Transmission Services)	
	Rule 2006	1383
11.8.1	Definitions	1383
11.8.2	Regulated interconnectors	1384
11.8.3	Application of new Part J of Chapter 6A to Transmission Network Service Providers	1384

11.8.4	Reliance on agreed interim guideline for ElectraNet, SPAusNet, and VenCorp	1385
11.8.5	Prudent discounts under existing agreements	1385
11.8.6	Application of prudent discounts regime under rule 6A.26.....	1385
11.8.7	Prudent discounts pending approval of pricing methodology	1385
Part H	Reallocations (2007 amendments)	1386
11.9	Rules consequent on the making of the National Electricity Amendment (Reallocations) Rule 2007	1386
11.9.1	Definitions	1386
11.9.2	Existing and transitional reallocations	1386
Part I	Technical Standards for Wind Generation (2007 amendments).....	1387
11.10	Rules consequent on making of the National Electricity Amendment (Technical Standards for Wind Generation and other Generator Connections) Rule 2007	1387
11.10.1	Definitions	1387
11.10.2	Provision of information under S5.2.4 in registration application	1387
11.10.3	Access standards made under the old Chapter 5.....	1388
11.10.4	Modifications to plant by Generators	1388
11.10.5	Technical Details to Support Application for Connection and Connection Agreement	1388
11.10.6	Transitional arrangements for establishment of performance standards	1388
11.10.7	Jurisdictional Derogations for Queensland.....	1388
11.10A	Rules consequent on the making of the National Electricity Amendment (Central Dispatch and Integration of Wind and Other Intermittent Generation) Rule 2008	1389
11.10A.1	Definitions	1389
11.10A.2	Registration and reclassification of classified generating units.....	1390
11.10A.3	Registered generating unit	1390
11.10A.4	Classification of potential semi-scheduled generating unit	1390
11.10A.5	Participant fees.....	1391
11.10A.6	Timetable	1391
11.10A.7	Procedure for contribution factors for ancillary service transactions	1391
11.10A.8	Guidelines for energy conversion model information	1391
Part J	Cost Recovery of Localised Regulation Services (2007 amendments)	1392
11.11	Rules consequent on making of the National Electricity Amendment (Cost Recovery of Localised Regulation Services) Rule 2007	1392
11.11.1	Definitions	1392
11.11.2	Action taken by NEMMCO for the purposes of Amending Rule	1392
11.12	[Deleted].....	1392
Part L	[Deleted].....	1392
Part M	Economic Regulation of Distribution Services (2007 amendments)	1392

Division 1	General Provisions	1392
11.14	General provisions	1392
11.14.1	Application of this Division.....	1392
11.14.2	Definitions	1392
11.14.3	Preservation of old regulatory regime	1393
11.14.4	Transfer of regulatory responsibility	1394
11.14.5	Special requirements with regard to ring fencing.....	1394
11.14.6	Additional requirements with regard to cost allocation.....	1395
11.14.7	Construction of documents	1395
Division 2	[Deleted].....	1396
Division 3	Transitional arrangements for first distribution determination for Queensland Distribution Network Service Providers	1396
11.16	Transitional arrangements for first distribution determination for Queensland Distribution Network Service Providers	1396
11.16.1	Definitions	1396
11.16.2	Application of Part to Queensland 2010 distribution determinations	1396
11.16.3	Treatment of the regulatory asset base	1396
11.16.4	Efficiency Benefit Sharing Scheme	1397
11.16.5	Service Target Performance Incentive Scheme	1397
11.16.6	Framework and approach	1397
11.16.7	Regulatory Proposal.....	1397
11.16.8	Side constraints	1398
11.16.9	Cost pass throughs	1398
11.16.10	Capital Contributions Policy.....	1398
Division 4	Transitional provisions of specific application to Victoria	1399
11.17	Transitional provisions of specific application to Victoria	1399
11.17.1	Definitions	1399
11.17.2	Calculation of estimated cost of corporate income tax.....	1399
11.17.3	Decisions made in the absence of a statement of regulatory intent.....	1399
11.17.4	Cost allocation guidelines.....	1400
11.17.5	Modification of requirements related to cost allocation method.....	1400
11.17.6	AMI Order in Council	1401
Part N	Registration of Foreign Based Persons and Corporations as Trader Class Participants (2007 amendments).....	1401
11.18	Rules consequential on the making of the National Electricity Amendment (Registration of Foreign Based Persons and Corporations as Trader Class Participants) Rule 2007	1401
11.18.1	Definitions	1401
11.18.2	Auction rules.....	1401
Part O	Process for Region Change (2007 amendments).....	1402
11.19	Rules consequent on making of the National Electricity Amendment (Process for Region Change) Rule 2007	1402
11.19.1	Definitions	1402
11.19.2	Regions Publication.....	1402
Part P	Integration of NEM Metrology Requirements.....	1402

11.20	Rules consequential on the making of the National Electricity Amendment (Integration of NEM Metrology Requirements) Rule 2008	1402
11.20.1	Definitions	1402
11.20.2	Metering installations for non-market generating units immediately prior to 30 June 2008	1402
11.20.3	First-tier load metering installations	1403
11.20.4	First-tier load metering installations in Victoria.....	1403
11.20.5	Minimalist Transitioning Approach in Queensland	1403
11.20.6	First-tier jurisdictional requirements publication	1404
11.20.7	Metrology procedure	1404
Part PA	Reliability Settings: Information Safety Net and Directions	1404
11.21	Rules consequential on the making of the National Electricity Amendment (NEM Reliability Settings: Information Safety Net and Directions) Rule 2008	1404
11.21.1	Definitions	1404
11.21.2	EAAP guidelines	1404
11.21.3	[Deleted]	1404
11.21.4	[Deleted]	1404
11.21.5	Timetable	1404
11.21.6	Power system security and reliability standards.....	1405
11.21.7	Report on statement of opportunities.....	1405
11.21.8	Methodology for dispatch prices and ancillary services prices	1405
Part Q	Regulatory Test Thresholds and Information Disclosure on Network Replacements.....	1405
11.22	Rules consequential on the making of the National Electricity Amendment (Regulatory Test Thresholds and Information Disclosure on Network Replacements) Rule 2008	1405
11.22.1	Definitions	1405
11.22.2	Amending Rule does not affect existing regulatory test.....	1406
Part R	Performance Standard Compliance of Generators	1406
11.23	Rules consequential on the making of the National Electricity Amendment (Performance Standards Compliance of Generators) Rule 2008.....	1406
11.23.1	Definitions	1406
11.23.2	Application of rule 11.23 for compliance programs implemented immediately after the commencement of the Amending Rule	1406
11.23.3	Application of rule 11.23 for compliance programs implemented immediately prior to the commencement of the Amending Rule.....	1406
11.23.4	Application of rule 11.23 for compliance programs not implemented immediately prior to the commencement of the Amending Rule.....	1407
Part S	[Deleted].....	1407
Part U	Confidentiality Arrangements concerning Information required for Power System Studies	1407

11.25	Rule consequential on the making of the National Electricity Amendment (Confidentiality Arrangements in Respect of Information Required for Power System Studies) Rule 2009	1407
11.25.1	Definitions	1407
11.25.2	Transitional arrangements for the provision of information.....	1407
Part V	WACC Reviews: Extension of Time	1409
11.26	Rule consequential on the making of the National Electricity Amendment (WACC Reviews: Extension of Time) Rule 2009	1409
11.26.1	Definitions	1409
11.26.2	Extension of time to submit a regulatory proposal for the regulatory control period 2010-2015	1409
Part W	National Transmission Statement	1409
11.27	Rules consequent on the making of the National Electricity Amendment (National Transmission Statement) Rule 2009	1409
11.27.1	Definitions	1409
11.27.2	Purpose	1409
11.27.3	Application of rule 11.27	1410
11.27.4	National Transmission Statement	1410
11.27.5	Scope and Content of National Transmission Network Development Plan.....	1411
11.27.6	Energy Adequacy Assessment Projection	1412
11.27.7	Amendment to Last Resort Power	1412
11.27.8	Actions taken prior to commencement of Rule	1412
Part X	National Transmission Planning	1412
11.28	Rules consequential on the making of the National Electricity (Australian Energy Market Operator) Amendment Rules 2009	1412
11.28.1	Definitions	1412
11.28.2	Jurisdictional planning bodies and representatives.....	1412
11.28.3	Criteria and guidelines published by Inter-regional Planning Committee.....	1413
11.28.4	Augmentation technical reports	1413
11.28.5	Last Resort Planning Power.....	1413
11.28.6	Inter-network power system tests	1413
11.28.7	Control and protection settings for equipment	1414
11.28.8	Revenue Proposals.....	1414
Part Y	Regulatory Investment Test for Transmission.....	1414
11.29	Rules consequent on making of the National Electricity Amendment (Regulatory Investment Test for Transmission) Rule 2009	1414
11.29.1	Definitions	1414
11.29.2	Period when Amending Rule applies to transmission investment.....	1415
Part Z	Congestion Information Resource.....	1415
11.30	Rules consequent on the making of the National Electricity Amendment (Congestion Information Resource) Rule 2009.....	1415
11.30.1	Definitions	1415
11.30.2	Interim congestion Information resource.....	1416

Part ZA	Reliability and emergency reserve trader (2009 amendments).....	1418
11.31	Rules consequent on the making of the National Electricity Amendment (Improved RERT Flexibility and Short-notice Reserve Contracts) Rule 2009.....	1418
11.31.1	Definitions	1418
11.31.2	Purpose	1418
11.31.3	Amendments to Reliability Panel's RERT Guidelines	1418
11.31.4	Amendments to AEMO's RERT procedures for exercising the RERT	1419
Part ZB	Early Application of Market Impact Parameters.....	1419
11.32	Rules consequent on the making of the National Electricity Amendment (Early Implementation of Market Impact Parameters) Rule 2010	1419
11.32.1	Definitions	1419
11.32.2	Purpose	1420
11.32.3	Early application of the market impact component of the service target performance incentive scheme	1420
Part ZC	Transparency of operating data	1423
11.33	Rules consequential on the making of National Electricity Amendment (Transparency of Operating Data) Rule 2010	1423
11.33.1	Definitions	1423
11.33.2	Spot market operations timetable	1423
Part ZD	Cost Recovery for Other Services Directions.....	1425
11.34	Rules consequent on making of the National Electricity Amendment (Cost Recovery for Other Services Directions) Rule 2010	1425
11.34.1	Definitions	1425
11.34.2	Period when Amending Rules applies to funding of compensation.....	1425
Part ZE	Payments under Feed-in Schemes and Climate Change Funds	1425
11.35	Rules consequential on the making of the National Electricity Amendment (Payments under Feed-in Schemes and Climate Change Funds) Rule 2010	1425
11.35.1	Definitions	1425
11.35.2	Application of recovery of jurisdictional scheme Rules.....	1426
11.35.3	Reporting on jurisdictional schemes.....	1427
11.35.4	Revocation and substitution of distribution determination for jurisdictional scheme recovery	1428
Part ZF	Transitional Arrangements for Ministerial Smart Meter Roll Out Determinations	1429
11.36	Ministerial Smart Meter Roll Out Determinations	1429
11.36.1	Definitions	1429
11.36.2	Meaning of relevant metering installation.....	1429
11.36.3	Period of application of rule to relevant metering installation	1429
11.36.4	Designation of responsible person.....	1430
11.36.5	[Deleted]	1430

11.36.6	[Deleted]	1430
Part ZG	Provision of Metering Data Services and Clarification of Existing Metrology Requirements.....	1430
11.37	Rules consequential on the making of the National Electricity Amendment (Provision of Metering Data Services and Clarification of Existing Metrology Requirements) Rule 2010	1430
11.37.1	Definitions	1430
11.37.2	Commencement of special site or technology related conditions.....	1430
11.37.3	Initial service level procedures	1430
Part ZH	Spot Market Operations Timetable	1431
11.38	Rules consequential on the making of National Electricity Amendment (Amendments to PASA-related Rules) Rule 2010	1431
11.38.1	Definitions	1431
11.38.2	Spot market operations timetable	1431
Part ZI	DNSP Recovery of Transmission-related Charges.....	1432
11.39	Rules consequential on the making of the National Electricity Amendment (DNSP Recovery of Transmission-related Charges) Rule 2011.....	1432
11.39.1	Definitions	1432
11.39.2	Recovery by Victorian distribution network service providers during current regulatory control period.....	1433
11.39.3	Recovery by Victorian distribution network service providers for shared network augmentations	1433
11.39.4	Bairnsdale network support agreement	1433
11.39.5	Approval of pricing proposal.....	1433
11.39.6	Ergon Energy transitional charges.....	1434
11.39.7	Energex transitional charges.....	1434
Part ZJ	Network Support and Control Ancillary Services.....	1434
11.40	Rules consequential on the making of the National Electricity Amendment (Network Support and Control Ancillary Services) Rule 2011	1434
11.40.1	Definitions	1434
11.40.2	Purpose	1434
11.40.3	Existing NCAS contracts to continue	1434
11.40.4	Amendments to existing guidelines.....	1435
11.40.5	New procedures	1435
11.40.6	Decisions and actions taken prior to Amending Rule	1435
Part ZK	Application of Dual Marginal Loss Factors	1435
11.41	Rules consequential on the making of the National Electricity Amendment (Application of Dual Marginal Loss Factors) Rule 2011	1435
11.41.1	Definitions	1435
11.41.2	Amendments to loss factor methodology	1436
11.41.3	Procedure applying dual intra-regional loss factors in central dispatch and spot market transactions	1436
11.41.4	Publication of intra-regional loss factors.....	1436

Part ZL	Tasmania Tranche 5a Procedure Changes.....	1436
11.42	Rules consequential on the making of the National Electricity Amendment (Tasmania Tranche 5a Procedure Changes) Rule 2011	1436
11.42.1	Definitions	1436
11.42.2	AEMO may amend Relevant Procedures	1437
Part ZM	Application and Operation of Administered Price Periods.....	1437
11.43	Rules consequential on the making of National Electricity Amendment (Application and Operation of Administered Price Periods) Rule 2011	1437
11.43.1	Definitions	1437
11.43.2	Administered Price Cap Schedule	1437
Part ZO	Negative Intra-regional Settlements Residue.....	1438
11.45	Rules consequential on the making of National Electricity Amendment (Negative Intra-regional Settlements Residue) Rule 2012	1438
11.45.1	Definitions	1438
11.45.2	Recovery of negative settlements residue prior to consultation under the Amending Rule	1438
11.45.3	First consultation on negative intra-regional settlements residue procedure	1439
Part ZP	Interim Connection Charging Rules.....	1439
11.46	Rules consequential to the insertion of Chapter 5A by the National Electricity (National Energy Retail Law) Amendment Rule 2012	1439
Division 1	Preliminary.....	1439
11.46.1	Definitions	1439
11.46.2	Extended meaning of some terms.....	1441
11.46.3	Transitional operation of relevant provisions	1441
Division 2	Jurisdictional differences	1442
11.46.4	Exclusions, qualifications and modifications for ACT.....	1442
11.46.5	Exclusions, qualifications and modifications for NSW.....	1443
11.46.6	Exclusions, qualifications and modifications for Queensland.....	1444
11.46.7	Exclusions, qualifications and modifications for SA	1445
11.46.8	Exclusions, qualifications and modifications for Tasmania	1446
11.46.9	Exclusions, qualifications and modifications for Victoria.....	1447
Division 3	General provisions	1448
11.46.10	Connection charges.....	1448
11.46.11	References.....	1449
Part ZQ	NSW transitional retail support and credit support rules.....	1449
11.47	Rules consequential on the insertion of Chapter 6B by the National Electricity (National Energy Retail Law) Amendment Rule 2012	1449
Division 1	Preliminary.....	1449
11.47.1	Definitions	1449

11.47.2	Application	1451
11.47.3	Obligation to pay	1451
11.47.4	Charging and billing	1451
11.47.5	Interest on unpaid amounts	1452
11.47.6	Circumstances where NSW DNSP may request credit support	1452
11.47.7	Credit support	1452
11.47.8	Credit support providers	1453
11.47.9	NSW DNSP to determine required credit support amount.....	1453
11.47.10	NSW Retailer to ensure credit support available.....	1453
11.47.11	Drawing on credit support	1454
11.47.12	Specific transitional arrangements.....	1454
Part ZR	Miscellaneous transitional rules—NERL	1454
11.48	Rules consequential on the making of the National Electricity (National Energy Retail Law) Amendment Rule 2012.....	1454
11.48.1	Extension of time period for AER to consider certain pass through applications	1454
Part ZS	Cost pass through arrangements for Network Service Providers.....	1455
11.49	Rules consequential on the making of National Electricity Amendment (Cost pass through arrangements for Network Service Providers) Rule 2012	1455
11.49.1	Definitions	1455
11.49.2	Terrorism event is a cost pass through event in the current regulatory control period	1455
11.49.3	Transitional arrangement for Powerlink.....	1455
11.49.4	Transitional arrangements for ElectraNet and Murraylink.....	1456
Part ZT	Distribution Network Planning and Expansion.....	1456
11.50	Rules consequential on the making of the National Electricity Amendment (Distribution Network Planning and Expansion) Rule 2012	1456
11.50.1	Interpretation.....	1456
11.50.2	Definitions	1456
11.50.3	Timing for first Distribution Annual Planning Report	1457
11.50.4	Contents of Distribution Annual Planning Report.....	1457
11.50.5	Transition from the regulatory test to the regulatory investment test for distribution	1458
Part ZU	New Prudential Standard and Framework in the NEM.....	1459
11.51	Rules consequential on the making of the National Electricity Amendment (New Prudential Standard and Framework in the NEM) Rule 2012.....	1459
11.51.1	Definitions	1459
11.51.2	AEMO's responsibility to develop and publish the credit limit procedures.....	1459
11.51.3	Transition to the framework for determining prudential settings.....	1460
11.51.4	Prior consultation, step, decision or action taken by AEMO	1460
Part ZV	Small Generation Aggregator Framework	1460

11.52	Rules consequential on the making of the National Electricity Amendment (Small Generation Aggregator Framework) Rule 2012	1460
11.52.1	Definitions	1460
11.52.2	Commencement of participant fees as well as transactions, funding and settlements under Chapter 3 for Market Small Generation Aggregators.....	1461
11.52.3	Participant fees for Market Small Generation Aggregators.....	1461
11.52.4	Ancillary service transactions, funding of compensation for directions and intervention settlements for directions for Market Small Generation Aggregators	1461
11.52.5	Amendments to the carbon dioxide equivalent intensity index procedures.....	1462
11.52.6	Amendments of the metrology procedures.....	1463
11.52.7	Amendments to the Market Settlement and Transfer Solution Procedures.....	1463
Part ZW	Economic Regulation of Network Service Providers (2012 amendments)	1463
Division 1	Miscellaneous transitional provisions	1463
11.53	Publication of Chapter 6 Guidelines	1463
11.53.1	Shared Asset Guidelines	1463
11.53.2	Capital Expenditure Incentive Guidelines	1464
11.53.3	Rate of Return Guidelines	1464
11.53.4	Expenditure Forecast Assessment Guidelines	1464
11.53.5	Distribution Confidentiality Guidelines	1464
11.53.6	Consultation procedure paper	1465
11.54	Publication of Chapter 6A Guidelines	1465
11.54.1	Shared Asset Guidelines	1465
11.54.2	Capital Expenditure Incentive Guidelines	1465
11.54.3	Rate of Return Guidelines	1465
11.54.4	Expenditure Forecast Assessment Guidelines	1466
11.54.5	Transmission Confidentiality Guidelines	1466
11.54.6	Consultation paper procedure	1466
Division 2	Transitional provisions for NSW/ACT Distribution Network Service Providers	1466
11.55	General provisions	1466
11.55.1	Definitions	1466
11.55.2	Transitional Chapter 6	1467
11.55.3	Application of rule 11.56	1469
11.56	Special provisions applying to affected DNSPs.....	1469
11.56.1	Requirement to make distribution determination	1469
11.56.2	Transitional regulatory proposal.....	1469
11.56.3	Distribution determination for a transitional regulatory control period	1470
11.56.4	Subsequent regulatory control period.....	1475
11.56.5	Review of past capital expenditure.....	1480

Division 3	Transitional provisions for NSW and Tasmanian Transmission Network Service Providers and Directlink.....	1480
11.57	General provisions	1480
11.57.1	Definitions	1480
11.57.2	Transitional Chapter 6A	1481
11.57.3	Application of rule 11.58	1482
11.58	Special provisions applying to affected TNSPs	1483
11.58.1	Requirement to make transmission determination	1483
11.58.2	Requirement to submit a transitional Revenue Proposal	1483
11.58.3	Transmission determination for a transitional regulatory control period	1484
11.58.4	Subsequent regulatory control period	1486
11.58.5	Review of past capital expenditure	1491
Division 4	Transitional provisions for the Victorian TNSP	1491
11.59	Special provisions applying to the Victorian TNSP	1491
11.59.1	Definitions	1491
11.59.2	Application of rule 11.59	1492
11.59.3	Former Chapter 6A/10 applies	1492
11.59.4	Review of past capital expenditure	1492
Division 5	Transitional provisions for Qld/SA and Victorian Distribution Network Service Providers	1493
11.60	Special provisions applying to the Qld/SA and Victorian Distribution Network Service Providers	1493
11.60.1	Definitions	1493
11.60.2	Application of rule 11.60	1494
11.60.3	Distribution determination for next regulatory control period	1494
11.60.4	Re-opening of distribution determination for next regulatory control period	1496
11.60.5	Review of past capital expenditure	1498
Division 6	Review of past capital expenditure	1498
11.61	Definitions and application	1498
11.62	Review of past capital expenditure under Chapter 6	1499
11.63	Review of past capital expenditure under Chapter 6A	1499
Part ZX	Inter-regional Transmission Charging	1500
11.64	Rules consequent on the making of the National Electricity Amendment (Inter-regional Transmission Charging) Rule 2013	1500
11.64.1	Definitions	1500
11.64.2	Amendments to the pricing methodology guidelines	1500
11.64.3	Amendments to the pricing methodologies of Transmission Network Service Providers	1500
11.64.4	Commencement of clause 6A.23.3(f) for further adjustments	1501
Part ZY	Network Service Provider Expenditure Objectives.....	1501

11.65	Rules consequent on the making of the National Electricity Amendment (Network Service Provider Expenditure Objectives) Rule 2013	1501
11.65.1	Definitions	1501
11.65.2	Application of rule 11.65	1501
Part ZZ	Access to NMI Standing Data	1502
11.66	Rules consequent on the making of the National Electricity Amendment (Access to NMI Standing Data) Rule 2013	1502
11.66.1	Definitions	1502
11.66.2	Minor amendment of the Market Settlement and Transfer Solution Procedures.....	1502
Part ZZA	Publication of Zone Substation Data	1503
11.67	Rules consequent on the making of the National Electricity Amendment (Publication of Zone Substation Data) Rule 2014.....	1503
11.67.1	Definitions	1503
11.67.2	Distribution Network Service Providers' obligations to commence on the next DAPR date	1503
Part ZZB	Connecting Embedded Generators	1503
11.68	Rules consequential on the making of the National Electricity Amendment (Connecting embedded generators) Rule 2014	1503
11.68.1	Definitions	1503
11.68.2	Continuation of enquiries lodged.....	1503
Part ZZC	Customer access to information about their energy consumption	1504
11.69	Rules consequential on the making of the National Electricity Amendment (Customer access to information about their energy consumption) Rule 2014	1504
11.69.1	Definitions	1504
11.69.2	AEMO to develop and publish the metering data provision procedure	1504
Part ZZD	National Electricity Amendment (Distribution Network Pricing Arrangements) Rule 2014	1504
Division 1	Miscellaneous transitional provisions	1504
11.70	General provisions	1504
11.70.1	Definitions	1504
11.70.2	Application of Part ZZB	1505
11.71	Special provisions applying to SA TNSP	1505
Division 2	Transitional provisions for NSW/ACT and Qld/SA Distribution Network Service Providers	1506
11.72	General provisions	1506
11.72.1	Definitions	1506
11.73	Special provisions applying to affected DNSPs.....	1506
11.73.1	Distribution determination and pricing proposals	1506
11.73.2	Tariff structure statement.....	1507

11.73.3	Dispute resolution.....	1513
Division 3	Transitional provisions for Tasmanian Distribution Network Service Provider.....	1513
11.74	Application of former Chapter 6.....	1513
Division 4	Transitional provisions for Victorian Distribution Network Service Providers	1513
11.75	General provisions	1513
11.75.1	Definitions	1513
11.76	Special provisions applying to affected DNSPs.....	1513
11.76.1	Distribution determination and pricing proposals	1513
11.76.2	Tariff structure statement.....	1514
11.76.3	Dispute resolution.....	1520
Part ZZE	Early Application of Network Capability Component (STPIS).....	1520
11.77	Rules consequent on the making of the National Electricity Amendment (Early Application of Service Target Performance Incentive Scheme (STPIS) Components for Transmission Businesses) Rule 2015	1520
11.77.1	Definitions	1520
11.77.2	Purpose	1520
11.77.3	Earlier application of the network capability component of the service target performance incentive scheme	1521
Part ZZF	National Electricity Amendment (Governance arrangements and implementation of the reliability standard and settings) Rule 2015	1523
11.78	Rules consequent on the making of the National Electricity Amendment (Governance arrangements and implementation of the reliability standards and settings) Rule 2015.....	1523
11.78.1	Definitions	1523
11.78.2	Existing power system security and reliability standards.....	1523
11.78.3	Reliability standard and settings guidelines	1524
11.78.4	Reliability standard implementation guidelines	1524
Part ZZG	Improving demand side participation information provided to AEMO by registered participants.....	1526
11.79	Rules consequential on making of the National Electricity Amendment (Improving demand side participation information provided to AEMO by registered participants) Rule 2015	1526
11.79.1	AEMO to develop and publish the demand side participation information guidelines	1526
Part ZZH	Transitional Arrangements for Aligning TasNetworks' regulatory control periods	1527
11.80	National Electricity Amendment (Aligning TasNetworks' regulatory control periods) Rule 2015	1527
11.80.1	Definitions	1527
11.80.2	Application of rule 11.80.....	1527

11.80.3	Next regulatory control period.....	1527
11.80.4	Subsequent regulatory control period.....	1527
Part ZZI	System Restart Ancillary Services	1528
11.81	Rules consequential on the making of the National Electricity Amendment (System Restart Ancillary Services) Rule 2015.....	1528
11.81.1	Definitions	1528
11.81.2	System restart standard.....	1528
11.81.3	SRAS Guideline.....	1528
11.81.4	Regional Benefit Ancillary Services Procedures.....	1528
11.81.5	Consultation prior to the Commencement Date	1528
11.81.6	Existing SRAS Contract	1528
Part ZZJ	Demand management incentive scheme	1529
11.82	Rules consequential on making of the National Electricity Amendment (Demand management incentive scheme) Rule 2015	1529
11.82.1	Definitions	1529
11.82.2	AER to develop and publish the demand management incentive scheme and demand management innovation allowance mechanism.....	1529
Part ZZK	AEMO access to demand forecasting information	1529
11.83	Rules consequential on the making of the National Electricity Amendment (AEMO access to demand forecasting information) Rule 2015.....	1529
11.83.1	AEMO to include supporting information in NTNDP database	1529
Part ZZL	Compensation arrangements following application of an Administered Price Cap or Administered Floor Price.....	1530
11.84	Rules consequential on the making of the National Electricity Amendment (Compensation Arrangements following application of an Administered Price Cap and Administered Floor Price) Rule 2016.....	1530
11.84.1	Definitions	1530
11.84.2	Compensation Guidelines.....	1530
Part ZZM	Common definitions of distribution reliability measures	1530
11.85	Rules consequential on the making of the National Electricity Amendment (Common definitions of distribution reliability measures) Rule 2015	1530
11.85.1	Definitions	1530
11.85.2	Distribution reliability measures guidelines	1530
11.85.3	Amended STPIS	1530
Part ZZN	Expanding competition in metering and metering related services.....	1531
11.86	Rules consequent on making of the National Electricity Amendment (Expanding competition in metering and related services) Rule 2015.....	1531
11.86.1	Definitions	1531
11.86.2	References to old Chapter 7.....	1531

11.86.3	References to provisions of the old Chapter 7	1531
11.86.4	References to responsible person.....	1531
11.86.5	Continued operation of old Rules until the effective date	1531
11.86.6	New and amended procedures	1532
11.86.7	Metering Coordinator for type 5 or 6 metering installation from effective date.....	1533
11.86.8	Distribution Ring Fencing Guidelines	1535
11.86.9	[Deleted]	1535
Part ZZO	Embedded Networks	1535
11.87	Rules consequential on the making of the National Electricity Amendment (Embedded Networks) Rule 2015.....	1535
11.87.1	Definitions	1535
11.87.2	Amended Procedures and NMI Standing Data Schedule	1536
11.87.3	ENM service level procedures and guide to embedded networks and list of Embedded Network Managers	1536
11.87.4	Exemptions under section 13 of the National Electricity Law	1536
Part ZZP	Meter Replacement Processes.....	1537
11.88	Rules consequential on the making of the National Electricity Amendment (Meter Replacement Processes) Rule 2016.....	1537
11.88.1	Definitions	1537
11.88.2	Amended Procedures	1537
Part ZZQ	Energy Adequacy Assessment Projection	1537
11.89	Rules consequential to the National Electricity Amendment (Energy Adequacy Assessment Projection timeframes) Rule 2016	1537
11.89.1	Amended guideline and timetable	1537
Part ZZR	Reliability and emergency reserve trader (2016 amendments).....	1538
11.90	Rules consequent on the making of the National Electricity Amendment (Extension of the Reliability and Emergency Reserve Trader) Rule 2016	1538
11.90.1	Definitions	1538
11.90.2	Amendments to Reliability Panel's RERT Guidelines	1538
11.90.3	Amendments to AEMO's RERT procedures	1538
11.90.4	Reserve contracts entered into before Schedule 2 commencement date.....	1538
Part ZZS	Updating the electricity B2B framework.....	1538
11.91	Rules consequential on the making of the National Electricity Amendment (Updating the electricity B2B framework) rule 2016	1538
11.91.1	Definitions	1538
11.91.2	B2B Procedures	1539
11.91.3	Information Exchange Committee Election Procedures and Information Exchange Committee Operating Manual.....	1539
11.91.4	The New IEC	1540
11.91.5	Amended Procedures	1541
11.91.6	B2B e-Hub Participant accreditation process	1542

11.91.7	New IEC Budget and 2016 Annual Report	1542
11.91.8	Cost recovery	1542
Part ZZT	Application of Offsets in the Prudential Margin Calculation	1543
11.92	Rules consequential on the making of the National Electricity Amendment (Application of Offsets in the Prudential Margin Calculation) Rule 2016	1543
11.92.1	Definitions	1543
11.92.2	Amended procedures	1543
Part ZZU	Rate of Return Guidelines Review	1543
11.93	Rules consequential on the making of the National Electricity Amendment (Rate of Return Guidelines Review) Rule 2016	1543
11.93.1	Definitions	1543
11.93.2	Application of current rate of return guidelines to making of a distribution determination for the subsequent regulatory control period	1545
Part ZZV	Demand Response Mechanism and Ancillary Services Unbundling	1545
11.94	Rules consequential on the making of the National Electricity Amendment (Demand Response Management and Ancillary Services Unbundling) rule 2016	1545
11.94.1	Definitions	1545
11.94.2	Participant fees for Market Ancillary Service Providers	1545
Part ZZW	Local Generation Network Credits	1545
11.95	Rules consequential on the making of the National Electricity Amendment (Local Generation Network Credits) Rule 2016	1545
11.95.1	Definitions	1545
11.95.2	System limitation template	1546
Part ZZX	Retailer-Distributor Credit Support Requirements	1546
11.96	Rules consequent on the making of the National Electricity Amendment (Retailer Distributor Credit Support Requirements) Rule 2017 No. 1	1546
11.96.1	Definitions	1546
11.96.2	Continued operation of old Chapter 6B	1546
11.96.3	Interaction with Chapter 6	1546
11.96.4	Application of new Chapter 6B	1546
Part ZZY	Emergency Frequency Control Schemes	1547
11.97	Rules consequent on the making of the National Electricity Amendment (Emergency frequency control schemes) Rule 2017	1547
11.97.1	Definitions	1547
11.97.2	Interim frequency operating standards for protected events	1547
11.97.3	First power system frequency risk review	1548
11.97.4	AEMO must review existing load shedding procedures	1548
11.97.5	Load shedding procedures	1548
Part ZZZ	Transmission Connection and Planning Arrangements	1549

11.98	Rules consequential on the making of the National Electricity Amendment (Transmission Connection and Planning Arrangements) Rule 2017	1549
11.98.1	Definitions	1549
11.98.2	Grandfathering of existing dedicated connection assets.....	1550
11.98.3	Preparatory steps for registration changes under the Amending Rule.....	1550
11.98.4	Participant fees for Dedicated Connection Asset Service Providers	1551
11.98.5	Existing Connection Agreements	1551
11.98.6	Connection process	1551
11.98.7	Transmission Annual Planning Report.....	1552
11.98.8	Preservation for adoptive jurisdictions	1552
Part ZZZA	Replacement expenditure planning arrangements.....	1552
11.99	Rules consequential on the making of the National Electricity Amendment (Replacement expenditure planning arrangements) Rule 2017	1552
11.99.1	Definitions	1552
11.99.2	Interpretation.....	1553
11.99.3	Transitional arrangements for affected DNSPs	1554
11.99.4	Amendments to RIT documentation.....	1554
11.99.5	Transitional arrangements relating to excluded projects	1554
11.99.6	Transitional arrangements relating to Victorian bushfire mitigation projects.....	1554
11.99.7	Transitional arrangements relating to review of costs thresholds.....	1555
Part ZZZB	Managing the rate of change of power system frequency.....	1555
11.100	Rules consequential on the making of the National Electricity Amendment (Managing the rate of change of power system frequency) Rule 2017	1555
11.100.1	Definitions	1555
11.100.2	Inertia sub-networks	1556
11.100.3	Inertia requirements methodology	1556
11.100.4	Inertia requirements	1556
11.100.5	NSCAS not to be used to meet an inertia shortfall after 1 July 2019	1557
11.100.6	Inertia network services may be used to meet an NSCAS gap declared in the NSCAS transition period.....	1557
11.100.7	Inertia network services made available before the commencement date.....	1558
Part ZZZC	Managing power system fault levels	1558
11.101	Rules consequential on the making of the National Electricity Amendment (Managing power system fault levels) Rule 2017.....	1558
11.101.1	Definitions	1558
11.101.2	System strength impact assessment guidelines.....	1560
11.101.3	System strength requirements methodology.....	1560
11.101.4	System strength requirements.....	1560
11.101.5	NSCAS not to be used to meet a fault level shortfall after 1 July 2019	1561
11.101.6	System strength services may be used to meet an NSCAS gap declared in the NSCAS transition period.....	1561

11.101.7	Withdrawal of a system strength-related NSCAS gap already declared.....	1562
11.101.8	System strength services made available before the commencement date.....	1562
Part ZZZD	Generating System Model Guidelines.....	1562
11.102	Making of Power System Model Guidelines	1562
Part ZZZE	Five Minute Settlement	1562
11.103	Rules consequential on the making of the National Electricity Amendment (Five Minute Settlement) Rule 2017 and the National Electricity Amendment (Delayed implementation of five minute and global settlement) Rule 2020	1562
11.103.1	Definitions	1562
11.103.2	Amendments to procedures	1563
11.103.3	Exemption for certain metering installations.....	1564
11.103.4	New or replacement meters	1564
11.103.5	Metering installations exempt from metering data provision requirements	1565
11.103.6	Exemption from meter data storage requirements.....	1565
11.103.7	Default offers and bids submitted prior to the commencement date	1565
Part ZZZF	Contestability of energy services	1565
11.104	Rules consequential on the making of the National Electricity Amendment (Contestability of energy services) Rule 2017	1565
11.104.1	Definitions	1565
11.104.2	New guidelines	1567
11.104.3	Transitional arrangements for application of Distribution Service Classification Guidelines and service classification provisions	1567
11.104.4	Transitional arrangements for application of Asset Exemption Guidelines, exemption applications and asset exemption decisions.....	1567
11.104.5	Transitional arrangements for adjustment in value of regulatory asset base	1569
Part ZZZG	Declaration of lack of reserve conditions.....	1569
11.105	Making of lack of reserve declaration guidelines	1569
11.105.1	Definitions	1569
11.105.2	Making of lack of reserve declaration guidelines.....	1569
Part ZZZH	Implementation of demand management incentive scheme	1569
11.106	Implementation of demand management incentive scheme	1569
11.106.1	Definitions	1569
11.106.2	Purpose	1570
11.106.3	Early application of revised demand management incentive scheme	1570
Part ZZZI	Reinstatement of long notice Reliability and Emergency Reserve Trader.....	1571
11.107	Rules consequential on the making of the National Electricity Amendment (Reinstatement of long notice Reliability and Emergency Reserve Trader) Rule 2018.....	1571
11.107.1	Definitions	1571

11.107.2	New RERT guidelines	1572
11.107.3	Amendments to RERT procedures	1575
11.107.4	Reserve contracts entered into before the commencement date	1575
Part ZZZJ	Register of distributed energy resources	1575
11.108	Rules consequential on the making of the National Electricity Amendment (Register of distributed energy resources) Rule 2018	1575
11.108.1	Definitions	1575
11.108.2	AEMO to develop and publish DER register information guidelines	1576
11.108.3	NSPs to provide AEMO with existing DER generation information	1576
Part ZZZK	Generator technical performance standards	1576
11.109	Rules consequential on the making of the National Electricity Amendment (Generator technical performance standards) Rule 2018	1576
11.109.1	Definitions	1576
11.109.2	Application of the Amending Rule to existing connection enquiries	1577
11.109.3	Application of the Amending Rule to existing applications to connect	1578
11.109.4	Application of the Amending Rule to existing offers to connect	1580
11.109.5	Application of the Amending Rule to Existing Connection Agreements	1580
Part ZZZL	Generator three year notice of closure	1581
11.110	Rules consequential on the making of the National Electricity Amendment (Generator three year notice of closure) Rule 2018.....	1581
11.110.1	Definitions	1581
11.110.2	AER to develop and publish notice of closure exemption guideline.....	1581
11.110.3	Application of Amending Rule to AEMO	1581
11.110.4	Application of Amending Rule to Generators	1581
Part ZZZM	Participant compensation following market suspension.....	1582
11.111	Rules consequential on the making of the National Electricity Amendment (Participant compensation following market suspension) Rule 2018.....	1582
11.111.1	Definitions	1582
11.111.2	Market suspension compensation methodology and schedule of benchmark values	1582
Part ZZZN	Global settlement and market reconciliation	1582
11.112	Rules consequential on the making of the National Electricity Amendment (Global settlement and market reconciliation) Rule 2018 and the National Electricity Amendment (Delayed implementation of five minute and global settlement) Rule 2020	1582
11.112.1	Definitions	1582
11.112.2	Amendments to AEMO procedures.....	1583
11.112.3	AEMO to publish report on unaccounted for energy trends.....	1583
11.112.4	Continuation of registration for non-market generators	1583
11.112.5	Publication of UFE data by AEMO	1583
11.112.6	Publication of UFE reporting guidelines	1584

Part ZZZO	Metering installation timeframes	1584
11.113	Rules consequential on making of the National Electricity Amendment (Metering installation timeframes) Rule 2018	1584
11.113.1	Definitions	1584
11.113.2	Timeframes for meters to be installed	1584
Part ZZZP	Early implementation of ISP priority projects	1585
11.114	National Electricity Amendment (Early implementation of ISP priority projects) Rule 2019	1585
11.114.1	Definitions	1585
11.114.2	Modifications to clause 5.16.6 for ISP VNI and QNI projects.....	1586
11.114.3	Modifications to clause 6A.8.2 for ISP projects.....	1587
Part ZZZQ	Enhancement to the Reliability and Emergency Reserve Trader	1589
11.115	Rules consequential on the making of the National Electricity Amendment (Enhancement to the reliability and emergency reserve trader) Rule 2019	1589
11.115.1	Definitions	1589
11.115.2	New RERT guidelines	1590
11.115.3	Amendments to RERT procedures	1590
11.115.4	Reserve contracts entered into before the commencement date	1590
11.115.5	Clause 3.20.6 (Reporting on RERT by AEMO).....	1590
Part ZZZR	Retailer Reliability Obligation.....	1590
11.116	Rules consequential on the making of the National Electricity Amendment (Retailer Reliability Obligation) Rule 2019.....	1590
11.116.1	Application	1590
11.116.2	Reliability Instrument Guidelines.....	1591
11.116.3	Forecasting Best Practice Guidelines	1591
11.116.4	Reliability Forecast Guidelines.....	1591
11.116.5	AER Opt-in Guidelines.....	1592
11.116.6	Contracts and Firmness Guidelines	1592
11.116.7	Qualifying contracts under interim Contracts and Firmness Guidelines	1592
11.116.8	Grandfathering arrangements	1592
11.116.9	Reliability Compliance Procedures and Guidelines	1593
11.116.10	MLO Guidelines	1594
11.116.11	Application of Part G, Divisions 2 – 6 (inclusive)	1594
11.116.12	Interim deeming of MLO generators and MLO groups	1595
11.116.13	MLO information template.....	1601
11.116.14	Initial MLO register	1602
11.116.15	Approved MLO products list.....	1602
11.116.16	Designated MLO exchange	1602
11.116.17	Five minute settlement intervals	1602
11.116.18	Review by AEMC.....	1602
Part ZZZS	Transparency of new projects	1603
11.117	Rules consequential on the making of the National Electricity Amendment (Transparency of new projects) Rule 2019.....	1603
11.117.1	Definitions	1603

11.117.2	Generation information page	1603
11.117.3	Generation information guidelines	1603
11.117.4	Provision and use of information.....	1604
Part ZZZT	Demand management incentive scheme and innovation allowance for TNSPs.....	1604
11.118	Rules consequential on the making of the National Electricity Amendment (Demand management incentive scheme and innovation allowance for TNSPs) Rule 2019	1604
11.118.1	Definitions	1604
11.118.2	AER to develop and publish the demand management innovation allowance mechanism	1604
Part ZZZU	Application of the regional reference node test to the Reliability and Emergency Reserve Trader	1605
11.119	Rules consequential on the making of the National Electricity Amendment (Application of the regional reference node test to the Reliability and Emergency Reserve Trader) Rule 2019	1605
11.119.1	Definitions	1605
11.119.2	AEMO intervention event in effect on commencement date	1605
Part ZZZV	Improving Transparency and Extending Duration of MT PASA	1605
11.120	Rules consequential on the making of the National Electricity Amendment (Improving transparency and extending duration of MT PASA) Rule 2020.....	1605
11.120.1	AEMO to update spot market operations timetable	1605
Part ZZZW	Victorian jurisdictional derogation – RERT contracting	1605
11.121	Rules consequential on the making of the National Electricity Amendment (Victorian jurisdictional derogation - RERT contracting) Rule 2020	1605
11.121.1	Definitions	1605
11.121.2	Procedures.....	1606
Part ZZZX	Mandatory primary frequency response.....	1606
11.122	Rules consequential on the making of the National Electricity Amendment (Mandatory primary frequency response) Rule 2020	1606
11.122.1	Definitions	1606
11.122.2	Interim Primary Frequency Response Requirements	1606
11.122.3	Action taken prior to commencement.....	1607
Part ZZZY	System restart services, standards and testing.....	1607
11.123	Rules consequential on the making of the National Electricity Amendment (System restart services, standards and testing) Rule 2020	1607
11.123.1	Definitions	1607
11.123.2	SRAS Guideline.....	1607
11.123.3	System restart standard	1608
11.123.4	Communication protocols.....	1608

11.123.5 System restart tests1608

Part ZZZZ Introduction of metering coordinator planned interruptions1608

11.124 Rules consequential on the making of the National Electricity Amendment (Introduction of metering coordinator planned interruptions) Rule 20201608

11.124.1 Definitions1608

11.124.2 Amendments of the metrology procedure1609

11.124.3 Market Settlement and Transfer Solutions Procedures1609

11.124.4 Requirements of the metrology procedure1609

Part ZZZZA Wholesale demand response1609

11.125 Rules consequential on the making of the National Electricity Amendment (Wholesale demand response mechanism) Rule 20201609

11.125.1 Definitions1609

11.125.2 Wholesale demand response guidelines1610

11.125.3 Baseline methodologies1611

11.125.4 Wholesale demand response participation guidelines1611

11.125.5 Extension of time for registration and aggregation1611

11.125.6 Amendments to AEMO, AER and AEMC documents1611

11.125.7 Amendments to the demand side participation information guidelines1612

11.125.8 Amendment to RERT guidelines1612

11.125.9 Renaming of Market Ancillary Service Providers1613

11.125.10 Wholesale demand response annual reporting1613

Part ZZZZB Integrated System Plan Rules1613

11.126 Rules consequential on the making of the National Electricity Amendment (Integrated System Plan) Rule 20201613

11.126.1 Definitions1613

11.126.2 2020 Integrated System Plan1614

11.126.3 Existing actionable ISP projects1614

11.126.4 Existing actionable ISP projects at the clause 5.16.6 stage1614

11.126.5 Existing actionable ISP projects prior to the clause 5.16.6 stage1615

11.126.6 Existing RIT-T proponent has published a PSCR but not a PADR1615

11.126.7 Cost Benefit Analysis Guidelines1616

11.126.8 Forecasting Best Practice Guidelines1616

11.126.9 Methodologies and reports1616

11.126.10 AEMC review of ISP framework1616